

UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF NORTH CAROLINA
ASHEVILLE DIVISION

STATE OF NORTH CAROLINA)	
ex rel. Roy Cooper, Attorney)	
General,)	
)	
Plaintiff,)	No. 1:06-CV-20
)	
vs.)	VOLUME 4A
)	
TENNESSEE VALLEY AUTHORITY,)	[Page 767-892]
)	
Defendant.)	
_____)	

TRANSCRIPT OF TRIAL PROCEEDINGS
BEFORE THE HONORABLE LACY H. THORNBURG
UNITED STATES DISTRICT COURT JUDGE
JULY 17, 2008

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PLAINTIFF'S EXHIBITS

NUMBER

IDENTIFIED

ADMITTED

176		832
193		844
221-224		885
464-466		781

P-R-O-C-E-E-D-I-N-G-S

THE COURT: Mr. Gulick, did you wish to address the Court?

MR. GULICK: Your Honor, very briefly. I'm Jim Gulick from the North Carolina Attorney General's office. Your Honor, a brief matter.

The matter of the decision of the D.C. Circuit has come up several times during the course of this proceeding, and I thought it was probably appropriate for us to hand up to the Court a copy of that decision in its entirety so that you have the opportunity at your appropriate leisure to consider just for yourself what it does and doesn't do. And I don't intend to make any argument about it at this time but simply to hand up two copies of that decision.

THE COURT: Yes. Thank you, but I already have a copy of it. I just haven't had a chance to read it.

MR. GULICK: Very good, Your Honor. That's a pretty lengthy document. I just wanted to make a copy available if you need it.

THE COURT: All right. Thank you.

MR. GULICK: Thank you.

(Proceedings interrupted by an unrelated matter.)

THE COURT: All right. We're ready to proceed.

MR. GOODSTEIN: Thank you, Your Honor.

1 North Carolina calls its next witness, Lyle
2 Chinkin.

3 **LYLE CHINKIN,**
4 **being duly sworn, was examined and testified as follows:**

5 **DIRECT EXAMINATION**

6 **MR. GOODSTEIN:** Your Honor, if I could approach, I
7 have a binder for Mr. Chinkin as well.

8 **THE COURT:** All right. Let's proceed.

9 **MR. GOODSTEIN:** Thank you, Your Honor.

10 **BY MR. GOODSTEIN:**

11 **Q.** State your full name for the record, please.

12 **A.** Lyle Chinkin.

13 **Q.** How are you currently employed, Mr. Chinkin?

14 **A.** I'm currently the president of Sonoma Technology,
15 Incorporated, in Petuluma, California.

16 **Q.** Your CV is marked as Plaintiff's Exhibit 426. It should
17 be the first one in your book.

18 **MR. GOODSTEIN:** And it should be the first one in
19 your book, Your Honor.

20 **BY MR. GOODSTEIN:**

21 **Q.** So you work with Mr. Wheeler at Sonoma Technologies?

22 **A.** That's correct.

23 I can hear you better now.

24 **Q.** Can you tell us what your role in this case has been?

25 **A.** Sure. I've worked with Mr. Wheeler to direct our staff

1 at Sonoma Technology to do the air quality modeling and do
2 analyses, as well as the analyses that I've looked at
3 personally, and looking at the work of our scientists, EPA
4 experts, SAMI, et cetera.

5 I was also a 30(b)(6) witness for the State of North
6 Carolina in the case, and I helped prepare the three expert
7 reports that we have turned in, Mr. Wheeler and myself.

8 **Q.** And so you've reached some conclusions about
9 improvements to air quality in the region that would result
10 from the additional controls installed by TVA as requested by
11 North Carolina in this case?

12 **A.** Yes, that's correct. I did some conclusions.

13 **MR. FINE:** Your Honor, I apologize for the
14 interruption, but as with Mr. Wheeler, we have a stipulation
15 as to Mr. Chinkin's area of expertise in this case.

16 **THE COURT:** All right, sir.

17 **BY MR. GOODSTEIN:**

18 **Q.** And that is air quality analysis, including emissions
19 inventories. Is that your area of expertise, Mr. Chinkin?

20 **A.** That is correct.

21 **Q.** And can you give us a summary of your background and
22 experience in that area, please.

23 **A.** Sure. Well, I'll start with my education and work into
24 my work experience.

25 I went to the University of California at Davis, where I

1 studied in atomospheric science, meteorology, and I got an
2 undergraduate and graduate degree from University of
3 California at Davis. But I actually began my work while I
4 was still going to school. So if you turn to the second
5 page, it has a very detailed outline, but I just want to hit
6 a few the highlights.

7 I actually started my career working at a TV station, in
8 the weather department. One of my goals was to explain the
9 weather to people. I also worked as a student intern at the
10 California Air Resources Board, which is the leading agency
11 in California for regulating air quality, and it's one of the
12 leading agencies in the country for regulating air quality.
13 And that's actually where I first met Mr. Wheeler. He and I
14 worked together at the California Air Resources Board.

15 While still a senior and undergraduate at UC Davis, the
16 California Air Resources Board offered me a unique
17 opportunity for a full time job on the condition I went back
18 to school part-time and completed my degree. So I actually
19 started as a professional in the air quality arena before I
20 completed my undergraduate degree, which I did go back and
21 complete Summa cum laude.

22 At the Air Resources Board, I did a considerable amount
23 of air quality analyses, I did technical scientific
24 statistical reports, and worked in the same section as
25 Mr. Wheeler, where we did air quality forecasting seven days

1 a week.

2 I was given an opportunity by the U.S. Environmental
3 Protection Agency. They identified me and offered me the
4 opportunity to go back to grad school and would pay my way,
5 and I continued to work at the Air Resources Board and
6 completed my graduate degree, which was a focus on visibility
7 impacts from agricultural burning activities, which was a big
8 issue in California in those days, and my thesis focused on
9 using instrumentation and human observers and understanding
10 the difference between what real visibility is and what
11 instruments are telling you the visibility is.

12 Subsequent to completing my master's degree, I was given
13 an opportunity to join a consulting firm called SAI, not to
14 be confused with where I work now, STI. It was called
15 Systems Applications International. And it was the
16 incubator, if not the birthplace, of the current modern-day
17 air quality model, the first air quality model accepted by
18 the USEPA, called the Urban Airshed Model. And that is, in
19 fact, where I actually worked with Dr. Tesche, TVA's expert
20 in this case. He and I worked at the same firm many years
21 ago.

22 I worked at that firm quite some time, about nine years,
23 where I rose to the ranks of the manager of emissions
24 modeling. And some of the highlights of the projects I
25 worked on were to develop emissions inventories for hundreds

1 of cities across the world actually, in Asia, America, and I
2 developed and was co-author of the EPA guidance document on
3 how to prepare emission inventories for photochemical models,
4 and that's still the guidance document today.

5 I had an opportunity -- I was recruited to join Sonoma
6 Technology in 1992, where I headed up their emissions
7 inventory program, and I worked my way through the ranks to
8 become president of the company about two years ago.

9 Q. All right. And what are your current responsibilities
10 at Sonoma Technologies?

11 A. Well, as president of Sonoma Technology, I oversee all
12 the divisions of the company. We're about a 50-person
13 company now. And we cover all areas of air quality research,
14 applications, modeling, as you heard about from Mr. Wheeler
15 yesterday.

16 We run, for example, the USEPA "AIRNow" website. Many
17 of you may have heard of that. It's A-I-R-N-O-W. And that
18 allows anyone in America to go on the Internet and see what
19 the air quality is right now outside their homes. And we run
20 that for the whole country, 24 hours a day, 365 days a year.

21 We also, for example, have projects for the U.S. Forest
22 Service, where, under a NASA grant, we're running CMAQ, as
23 Mr. Wheeler said yesterday, twice daily every day, 365 days a
24 year, to forecast the impact of smoke from both wildfires and
25 man-made prescribed burning throughout the country. So we

1 have some pretty extensive programs on modeling and air
2 quality analysis.

3 We also do air quality health research. I personally
4 was involved in a study for the City of Houston where we
5 looked at air quality benefits, the health benefits of
6 changes in air quality for the City of Houston for both ozone
7 and PM. It was under what was called the Excel Program back
8 in those days. So it's pretty extensive.

9 The roots of the company, if you haven't heard of Sonoma
10 Technology, was flying airplanes and measuring the air
11 pollution over cities. In fact, the founder of the company,
12 who is still with the company, was the first to prove -- and
13 it had to be proved in those days. It sounds funny today.
14 But he was the first to prove that air pollution crossed
15 county lines. He flew his airplane in Los Angeles. At that
16 time, Los Angeles County was insistent that their pollution
17 did not cross the county line into Riverside County, and he
18 had to prove that, and that became the first unified air
19 pollution control district because he proved air pollution
20 knew no boundaries.

21 And we've been flying airplanes ever since and measuring
22 air pollution throughout the country, and world, for that
23 matter. We've measured air pollution at the South Pole.
24 We've measured air pollution in Asia. We do many, many field
25 studies using state-of-the-art air quality instruments.

1 Q. Could you summarize for us your experience in emissions
2 inventories, air quality analysis, and how you use air
3 quality modeling in your work?

4 A. Sure. Over the years, I have been asked by many clients
5 to use, you know, my knowledge of emission inventories. I've
6 personally been involved in the collection of emissions data,
7 learning how emissions come from various source categories.

8 One of the most recent studies I think you might be
9 interested in hearing about is how does air pollution vary by
10 day and week. It's a very interesting issue that people want
11 to know because, if you think about it, pretty much every
12 day, weekdays, Monday through Friday, pollution is about the
13 same in terms of the emissions from cars and factories
14 because that's when everybody goes to work, but the weekends
15 are very different. So no one has ever really studied
16 weekends until the last few years.

17 I've been involved in a number of studies for USEPA,
18 California Air Resources Board and private industry, looking
19 at the differences between pollution on weekdays and
20 weekends, and so I've personally been involved in those
21 studies.

22 I've been asked by many areas around the country dealing
23 with air quality issues to help them, give them advice,
24 frankly, on what control programs are most effective for
25 them. I've done work in Kansas City; Minnesota; the Twin

1 Cities; Columbus, Ohio.

2 I recently was an invited speaker at a group for public
3 citizens. There were 200 citizens. Each had paid \$500 to
4 hear how the air quality in the mountain counties of
5 California could be improved, because similar to the evidence
6 in this case in the Great Smoky Mountains, the pollution is
7 being transported in to where they live. They can't stop the
8 pollution from coming in and they want to know what they can
9 do about it.

10 That's the kind of work I do. I try to explain
11 complicated air quality issues to lay public, private
12 industry leaders and government officials.

13 **Q.** And you prepared several reports along with Mr. Wheeler
14 in this case?

15 **A.** That's correct.

16 **Q.** And this should be at the back of your binder. Can you
17 identify Plaintiff's Exhibit 464, 465 and 466?

18 **A.** Yes, I can.

19 **MR. GOODSTEIN:** Your Honor, at this time we're
20 going to offer Plaintiff's Exhibit 426 into evidence, which
21 is Mr. Chinkin's CV, and then his reports.

22 **THE COURT:** All right. Let that be admitted.

23 **MR. FINE:** Your Honor, we have no objection to the
24 CV and we have no objection to the first of the two reports,
25 which I believe have been marked as Plaintiff's Exhibits 464

1 and 465.

2 We do have an objection to the introduction into
3 evidence Plaintiff's Exhibit 466, which is what is referred
4 to in the binder as the Letter Report from June of 2007. It
5 is our position that this report was submitted in an untimely
6 fashion under the Court's order in terms of the exchange of
7 expert reports and should be excluded from the record on that
8 basis.

9 **THE COURT:** When was it given to you or made
10 available?

11 **MR. FINE:** Sir, it's dated June 20th, 2007, and was
12 made available to TVA at that time. There was no newly
13 revealed information. The newly revealed information from
14 TVA caused the third report. No additional modeling was done
15 for the third report. We believe on that basis and under the
16 terms of the Court's order that it was an untimely expert
17 report and should not be made part of the record.

18 **MR. GOODSTEIN:** Your Honor, we received a
19 supplemental report from the defendant's experts in this area
20 in June of 2007, and this letter report from Mr. Wheeler and
21 Mr. Chinkin was disclosed on June 20th, 2007. It was in the
22 discovery period.

23 We had previously received an expert report from
24 Dr. Tesche and Dr. Mueller in February of 2007, and then we
25 received a supplement from them in June of 2007, and under

1 the rules, we have 30 days to issue a rebuttal report, if
2 necessary, based on new information that we receive, and in
3 June, 2007, we received a 30-page expert report, supplemental
4 expert report, from Dr. Tesche and Dr. Mueller, and this
5 report from Mr. Chinkin and Mr. Wheeler is a short rebuttal
6 to some of those points.

7 **MR. FINE:** Your Honor, the supplemental report from
8 Dr. Tesche and Mr. Mueller that Mr. Goodstein is referring to
9 was submitted within the agreed deadline for expert reports.
10 The letter report did not rebut anything in the Tesche and
11 Mueller supplemental report and, as I previously stated, was
12 not in -- was not in response to new information, and, in
13 fact, as I'm sure Mr. Chinkin will admit, no additional
14 modeling was made for that report, for this letter report.

15 **MR. GOODSTEIN:** Your Honor, if I can approach, I
16 can show the Court the report that this is responding to.

17 This all occurred within the discovery period, and
18 TVA never took Mr. Chinkin's deposition regarding any of his
19 reports in this case. And this letter report was disclosed a
20 long time ago, within the discovery period, and they never
21 have taken this gentleman's deposition. They've never asked
22 for his deposition. So I find it hard to accept any kind of
23 prejudice argument, much less this was a timely disclosure in
24 the discovery period responding to a 30-plus page
25 supplemental report by their experts.

1 **THE COURT:** Mr. Fine, you've had a year to look at
2 this, haven't you?

3 **MR. FINE:** Yes, Your Honor.

4 **THE COURT:** Where is the prejudice? I don't like
5 lawyers ignoring court orders. On the other hand, there's
6 occasionally a missed step like this of importance, and it
7 seems to me that, absent a showing of some substantial
8 prejudice, I'm inclined to overrule your objection.

9 **MR. FINE:** Your Honor, I understand your approach
10 to this matter, but I would just point out for the sake of
11 the record that this letter report was given during the last
12 week of the discovery period, during a time when five
13 depositions were scheduled that week.

14 There was no time to take Mr. Chinkin's deposition
15 with regard to this letter report. He was tendered as a
16 30(b)(6) deponent, and his deposition was taken within that
17 context, as I think Mr. Chinkin himself has testified himself
18 already this morning. But we believe that coming in with
19 this report in the last week of the discovery period when
20 there was much else going on is sufficient grounds to find
21 that there was prejudice to the defendant in the matter.

22 **MR. GOODSTEIN:** Your Honor, your case management
23 order, on page 3, dealing with expert witnesses -- this was
24 your order that was filed in July of 2006. It's document
25 21 -- provides for expert witnesses' supplementations under

1 Rule 26(e) shall be ongoing throughout these proceedings.

2 And consistent with 26(e), we filed this letter report in a
3 timely manner and consistent with your order and the rules.

4 We had several depositions scheduled back in July
5 because we couldn't complete them in June, and if counsel had
6 any scheduling issue with the desire to take Mr. Chinkin's
7 deposition, we certainly would have accommodated them. We
8 had several depositions continue into July that year, and as
9 you know, Your Honor, we were prepared to produce any of our
10 expert witnesses for deposition, to be fully examined on
11 their reports, and had TVA requested that, we certainly would
12 have made Mr. Chinkin available.

13 **THE COURT:** All right. Show the Court overrules
14 the objection and gives the defendant an exception, and let's
15 move the case along.

16 **MR. GOODSTEIN:** Thank you, Your Honor.

17 **MR. FINE:** Very well, Your Honor.

18 **THE COURT:** Thank you, gentlemen.

19 **MR. GOODSTEIN:** We offer 464, 465 and 466 into
20 evidence at this time.

21 **THE COURT:** Let those be admitted.

22 **(Plaintiff's Exhibits 464, 465, and 466**
23 **received.)**

24 **BY MR. GOODSTEIN:**

25 **Q.** Mr. Chinkin, you mentioned you had developed a

1 conclusion regarding the benefits that would result from the
2 additional controls on TVA plants sought by North Carolina.

3 Have you also developed a conclusion regarding the
4 current impacts of the excess emissions from TVA's power
5 plants?

6 A. Yes, I have.

7 Q. And could you tell us a little bit about the method that
8 you used to develop those conclusions?

9 A. Well, the approach I take in drawing conclusions in a
10 situation like this is to look at all the available
11 information I can, and in this case that included our own STI
12 modeling, it included the modeling of SAMI that was referred
13 to for the last several days, it included modeling performed
14 by USEPA in support of the CAIR rule, the Clean Air
15 Interstate Rule, and also the TVA expert's own model; and I
16 looked at a number of other governmental resource documents,
17 including the National Park Service, for example, and other
18 available information from my normal means of getting it, you
19 know, through the public literature, peer review literature.

20 Those are the techniques I used to reach my conclusions.

21 Q. And could you summarize your conclusions for us, and
22 then we'll walk through the evidence that you put together
23 and your analysis of that. But if you could give us just an
24 overview of your conclusions at this time.

25 A. Sure. I think it's really important that I make it as

1 plain and simple as possible.

2 My conclusion in this case about the current impacts
3 from TVA's emissions from the coal-fired power plants is that
4 they are very large and substantial and, in comparison to
5 studies I've done throughout the country, these are huge
6 impacts, and I think the evidence will show that.

7 **Q.** Let's first talk about the meteorological information
8 that you considered, Mr. Chinkin. And if it would be
9 helpful, you can refer to the figure on the easel.

10 **MR. GOODSTEIN:** With permission of the Court, Your
11 Honor, if we could have Mr. Chinkin step down and refer to
12 the figure on the easel.

13 **THE COURT:** Yes.

14 **MR. GOODSTEIN:** All right.

15 **THE COURT:** And what number are we looking at?

16 **MR. GOODSTEIN:** Plaintiff's Exhibit 140, Your
17 Honor.

18 **THE COURT:** All right.

19 **MR. GOODSTEIN:** And it's also on your screen, Your
20 Honor, if that's a better view for the Court.

21 **THE WITNESS:** Okay. Well, I think what's really
22 important to understand is that, as Mr. Wheeler, you know,
23 briefly mentioned yesterday, you know, weather dominates
24 where air pollution moves to. And it's important to
25 understand the weather in the Southeast.

1 We all watch the evening weather probably, and we
2 all are probably familiar with high pressures and low
3 pressures.

4 **MR. FINE:** Your Honor, I think this is getting into
5 cumulative testimony. We heard extensively from Mr. Wheeler
6 about the dominant weather patterns in the Southeast
7 yesterday and I'm not sure we need to have Mr. Chinkin repeat
8 it.

9 **MR. GOODSTEIN:** Your Honor, as I indicated
10 yesterday --

11 **THE COURT:** Overruled. Answer the question.

12 **MR. GOODSTEIN:** Thank you, Your Honor.

13 **THE WITNESS:** What I was about to say was that
14 these high pressure systems that tend to set up in the
15 southeast United States in the summertime tend to cause the
16 pollution to move from west to east with a circular pattern.
17 And what I intend to show is that, through the evidence, that
18 this pattern, this typical pattern, all summer long, carries
19 this pollution from all the power plants, those in Alabama,
20 those in Tennessee, and those in Kentucky, into North
21 Carolina, causing impact there, as well as impacts in
22 Alabama, Tennessee, and Kentucky, and I'm going to go through
23 that in a very detailed way to see how the weather causes
24 that impact.

25 **THE COURT:** All right, sir.

1 **BY MR. GOODSTEIN:**

2 **Q.** Okay. Now I'd like you to refer to some of the other
3 modeling evidence which was received yesterday during
4 Mr. Wheeler's testimony. And let's start with Plaintiff's
5 Exhibit 151 and ask you to identify that.

6 **A.** Yes. That is our modeling.

7 **Q.** And can you tell us what this shows?

8 **A.** Okay. What I'd like to do is -- I'm going to show this
9 on the electronic screen.

10 Let me first say, before I actually get into the details
11 of this particular plot, that we actually did this for every
12 day of the entire year and we looked at every day's impacts.
13 In fact, we looked at it hourly. If we were to print out all
14 of those, our expert report would have been 10,000 pages
15 long. So what we tried to do was just show sample plots in
16 the expert report. And, in fact, I'm going to show even less
17 samples today, just giving, really, glimpses. But every day
18 these types of things are demonstrated in air quality
19 modeling.

20 If you look on the left, this is showing you where the
21 air pollution was that day. This is for ozone. So you can
22 see there are yellow areas, green areas, and then some sort
23 of red and purple areas, and that's where the air pollution
24 was worse on that day, those sort of dark orange, red, and
25 purple areas. And you can see there are some areas there in

1 central North Carolina that were pretty high that day.

2 On the right-hand side, what we're showing is what were
3 the impacts from TVA's coal-fired power plants on that day.
4 And maybe now is a good time to step back for a second and
5 talk about what that day means.

6 There was a lot of discussion about 2013 versus, you
7 know, 2007 or current. And I think it's important to
8 understand that the way modelers analyze impacts from a
9 source is to say what is the air pollution to the best of our
10 ability the models can predict, and then remove that source
11 and say what is the difference in that air pollution when the
12 source is removed.

13 When we do these around the country, we ask ourselves
14 when is a reasonable time for that source to have controls
15 installed on that source. If that source could have controls
16 installed instantly, you know, magically, today, we could
17 have two models of today's emissions and say what would it be
18 with or without that control on that source. But as we all
19 know, it takes several years, at least, to install controls.

20 So the way this is done is to have a future year. There
21 is nothing magical about 2013. That was the year we chose in
22 this case. It seemed like a reasonable time. It coincided
23 with the Clean Smokestacks Act initiative requirements. But
24 we could have chosen 2009. We could have chosen 2007. The
25 year is really irrelevant, because what you do is you hold

1 constant everything but the change in the source you're
2 trying to understand. And so we shouldn't get hung up on
3 this is July 27, 2013. It's just looking at the impact of
4 taking those sources out of the inventory. Everything else
5 is being held constant.

6 So let's look at this day. So we looked at July 27,
7 2013. You can see individual plume impacts from each of the
8 power plants. On this particular one, this is ozone. And as
9 we've heard from other witnesses, what's causing ozone is
10 NOx, the NOx emissions from the power plants, and so, you
11 know, there are -- you know, the reductions of the NOx causes
12 reductions in ozone.

13 So you can actually identify individual power plant
14 plumes from the number of the power plants on this day in
15 this plot.

16 **Q.** And you're talking about the TVA power plants?

17 **A.** These are TVA power plants, that's correct. That's all
18 we have isolated here are just the TVA coal-fired power
19 plants that we have applied NOx reductions in this control
20 scenario.

21 And as Dr. Staudt referred to the other day, we didn't
22 do that at every power plant, just the ones that he did in
23 his control scenario. You can see the benefits of those NOx
24 reductions strongly in Tennessee, strongly in Alabama, some
25 in Georgia, lots of benefits in North Carolina, lots of

1 benefits in Kentucky, and all the way up into Indiana and
2 Illinois you see benefits.

3 So there are benefits throughout the entire region on
4 this particular day, but you can see each power plant's
5 impact individually and how they're all affecting each state
6 and throughout the region.

7 Q. So this shows the current impacts as well as the
8 patterns?

9 A. That's correct.

10 Q. So let's take a look at one of your figures for PM2.5
11 concentrations, which is marked for evidence as Plaintiff's
12 Exhibit 143.

13 A. Okay. Similarly, for ozone, here is a plot, again, just
14 an example day. We have these for every hour of every day
15 for the entire year, but we're just looking at one day. And
16 again, what you can see on the left-hand side in the very
17 colorful map is where was air quality -- what were the air
18 quality levels predicted for that day. And you can see this
19 is a scale that goes from zero up until the purple, 20 or
20 greater.

21 And so you can see, in North Carolina, on that day, we
22 predicted the orange and reds and some yellows throughout the
23 state of North Carolina as an example. And then, on the
24 right-hand side, again what we see is what are the impacts;
25 and then the flip side of that coin, what would the benefits

1 be if the power plants, TVA's coal-fired power plants, were
2 controlled. In this case we're talking about PM2.5, so we're
3 talking about SO2 reductions.

4 And so, again, you can actually identify by looking at
5 it -- I'll try to use my finger pointer here. You can see
6 individual plumes from those power plants lining up, and you
7 can actually follow the trajectory and see which areas are
8 being impacted and, conversely, which areas have the greatest
9 benefits from controls on power plants on that day, and it's
10 easily identified individual locations of each power plant.

11 Q. And you're talking about TVA's power plants?

12 A. Again, these are just TVA's coal-fired power plants that
13 we're isolating here.

14 Q. Can you identify for us which of those plants are shown
15 on those plumes?

16 A. Yes, I can.

17 Q. And you can refer to Plaintiff's Exhibit 58, which is on
18 the easel in front of you, if that's helpful.

19 A. Okay. So, for example, to the best of my ability, on
20 this particular day, you can see there are some plumes sort
21 of lining up along the southern border of Tennessee there.

22 The map just moved on me. Okay.

23 So let's look at the one which is probably near Memphis,
24 Tennessee, and that's perhaps the plume from Allen power
25 plant; and then moving further east, you can see -- that was

1 the Allen plant there. Moving further east is probably the
2 plume coming from Colbert; and moving further east still is
3 the plume from Widows Creek. And you can even identify
4 within -- keep in mind that these plumes pass over other
5 power plants; plumes are combined and make a big cloud of air
6 pollution. But you can even see the other plumes, a little
7 bit buried, but you can see, for example, there's a, you
8 know, a -- see if this works.

9 You can see a plume over there. You can see a plume
10 over there from the Kentucky power plants. You could
11 probably see a plume coming here, it looks like from John
12 Sevier.

13 But when you do this every day, you can individually see
14 plumes from every power plant many times during the year.
15 You can see where they go and which are the states being most
16 impacted by that particular power plant.

17 Having done this for the whole year, I can say that
18 every single power plant in the fleet has impacts in
19 Tennessee, in the states where they reside, Alabama and
20 Kentucky, and in North Carolina and throughout the region.

21 **Q.** Let's move on to Plaintiff's Exhibit 155 and ask you to
22 identify that.

23 **A.** This is a plot which is -- again, now we're looking at
24 the peak 8-hour ozone impact and/or benefits. Again, this is
25 the flip side of the same coin. And this is showing the

1 whole study area that we had looked at.

2 And I want to really focus in and look at what the color
3 scale means here. So if we can zoom in and blow that up,
4 that would be great.

5 That's a little too big, I think.

6 Okay. So what's really important to recognize now is
7 how did I reach the conclusion that these impacts were
8 substantial? Well, let's start talking about the evidence
9 that says it's substantial.

10 On the scale in front of us here, you can see that the
11 gray means the impacts were less than one half of a part per
12 billion; and then you move up and you see the blues get
13 darker and darker, all the way to up to where the darkest
14 blue is greater than 8 parts per billion. Well, 8 parts per
15 billion doesn't sound like a lot. It sounds like something
16 really small, so I think it would be useful to put that scale
17 into context.

18 So, what most people don't know when I give these talks
19 around America is that ozone is a naturally occurring blue.
20 You know, much like the other day, I think it was Bill
21 Jackson testified that the Blue Ridge Mountains got its name
22 because there is natural a blue haze in those mountains from
23 natural sources of pollution. There is also natural
24 formation of ozone. And in this country, the natural
25 background ozone level is about 40 parts per billion. In

1 this country, the ozone standard is about 75 parts per
2 billion. So what that means is man-made pollution is
3 contributing, or is allowed to contribute, by the USEPA
4 standards, only another 35 parts per billion.

5 So when we compare this scale, for example, to 8 parts
6 per billion ozone, we're really comparing it to about a 35.
7 So what fraction of that 35 that was, you know, sort of
8 theoretically allowed to emit, although I don't -- I think we
9 would agree that we shouldn't be emitting any; we all ought
10 to make pollution as low as we can. But what fraction of
11 that 35 are we talking about? And that's one of the ways of
12 determining whether something is substantial or not; is it a
13 significant fraction of that available capacity, if you will.

14 So let's look at this map for a moment. If you look,
15 you will see that there are centered on each power plant,
16 because of the NOx emissions, dark blue and the second to
17 darkest blue patterns on each of those power plants, and the
18 darkest blue is 8 or greater parts per billion. Well, 8
19 compared to 35, that's about 25 percent of the problem is
20 just from these power plants.

21 So that's one way I decide whether something is having a
22 substantial impact or not.

23 So when you look at this map, you can identify, again,
24 as I did earlier on the PM map -- you can say which power
25 plant is at the center of each of those -- which TVA

1 coal-fired power plant is at the center of each of those dark
2 blue pattern areas.

3 And we can walk through that, if you'd like, Your Honor.
4 But I think the point is each of those power plants is at the
5 center of one of those dark blue areas.

6 You can also -- and we have a subsequent exhibit that
7 will show that. We'll zoom in and see what those impact
8 areas are within those dark areas, those nonattainment areas,
9 wilderness areas, Class 1 areas, and go into details on that.

10 We'll go into that in more detail. That includes
11 looking at, you know, natural resources, nonattainment areas,
12 and sensitive receptors.

13 Q. Can you identify a few of the TVA power plants on this
14 figure for us?

15 A. Certainly. So, again -- I'll try to use the screen
16 here -- you can see the dark blue area here, which appears to
17 me to be the Colbert power plant area of impact. The dark
18 blue area there appears to be the Widows Creek plume impact
19 area. The large one up in northeastern Tennessee would be
20 the John Sevier power plant impact, you know, just to name a
21 few.

22 Q. All right. And then you have another slide, which is
23 the second page of Plaintiff's Exhibit 155. And can you tell
24 us what this one is?

25 A. What we're trying to do with this one is basically zoom

1 in now. This is exactly the same figure we just saw but
2 we're zooming in much closer now.

3 So you can see the power plants themselves are labeled
4 on this plot. As well, we have identified the nonattainment
5 areas for the 8-hour ozone standard, and it's quite evident
6 from this plot that several of these power plants are having
7 direct impact on ozone nonattainment areas.

8 In Tennessee, for example, if you look at Gallatin, that
9 would be the Nashville area nonattainment. John Sevier is
10 having impacts on nonattainment areas in that area. Widows
11 Creek is having impacts on the Chattanooga nonattainment area
12 in Tennessee, actually crossing the state lines there.

13 So you can see that these power plants are having direct
14 impacts on areas that are considered by the U.S.
15 Environmental Protection Agency as nonattainment of the
16 National Ambient Air Quality standards.

17 And I'd like to point out that our eye just naturally
18 focuses in on the most darkest blue areas here, but to be
19 clear, everything you see on this map is not gray, so
20 everything you see on this map are impacts. So remember,
21 look at the scale, and the scale is showing you what those
22 impacts are. But everywhere in this area is at least greater
23 than 1 part per billion, and some of them are greater than 8
24 parts per billion.

25 Q. And these are the nonattainment counties as of the time

1 you did your report?

2 **A.** That's correct. The USEPA, in conjunction with the
3 states, are continually evaluating the attainment status of
4 each county that has monitors.

5 **Q.** In fact, there's been a lowering of some of the ozone
6 standards recently?

7 **A.** That's correct. The ozone standard itself was changed
8 from 85 to 75, and then counties need to be redesignated
9 based on these new levels. So that's actually going on as we
10 speak. So many more counties will likely be nonattainment in
11 the future with these new tighter standards.

12 **Q.** Now let's go to Plaintiff's 156. And please describe
13 what this one shows.

14 **A.** Okay. What we've done now is really zoom in. So now
15 we're just focusing in on eastern Tennessee and western North
16 Carolina. And what I'd like to do is walk through
17 specifically many of the resource areas that are being
18 impacted by these power plants.

19 In particular, let's start at the northern end of the
20 map here. These are ozone impacts. So we have John Sevier.
21 Then let's look at the impacts from John Sevier.

22 And, again, let me emphasize, I'm sort of focusing in on
23 sort of the blue areas here, but the whole map is impacts.
24 But what we're trying to do is really focus in, just to get a
25 sense of what are the sensitive resources being impacted. So

1 let's start at the northern part of the state.

2 Well, first of all, let's step back. On this map are
3 some green hatched areas, and those represent the forest and
4 the wilderness areas throughout the area. In this case,
5 those are the national forest. We've also identified state
6 parks; we've identified national parks. You can see the
7 Great Smoky Mountains National Park identified in sort of a
8 double hatched green area. But those are all the national
9 forests. And as we heard from Mr. Jackson the other day,
10 there are certain forests that they're particularly concerned
11 about, but they're concerned about all the forests in the
12 area.

13 So let's work our way through some of these sensitive
14 receptors and look at the actual estimated impacts from John
15 Sevier on those locations.

16 So, for example let's look at Grandfather Mountain. You
17 can see on our prediction here that Grandfather Mountain is
18 in sort of the second darkest blue area there, which means
19 the impacts on Grandfather Mountain are somewhere between 4
20 and 8 parts per billion at that location.

21 I'd like to say that Grandfather Mountain, for the
22 record, is rather unique. It's been accepted as a UN
23 biosphere reserve because of the number of rare species of
24 plants and animals at that location. I'd also say the Great
25 Smoky Mountains is also a UN bio-reserve. Those are very

1 unique resources.

2 If you move further south, you have Linville Gorge; you
3 have Mount Mitchell, the highest mountain east of the
4 Rockies. Mount Mitchell, for example -- you know, if you
5 take a hike on Mount Mitchell --

6 **MR. FINE:** Your Honor, I think we're going far
7 beyond Mr. Chinkin's area of expertise. We're going to have
8 other witnesses, at least they're listed on the plaintiff's
9 witness list, who can describe these areas from their own
10 knowledge or experience. I don't think we need to hear
11 Mr. Chinkin give us his description of these areas.

12 **MR. GOODSTEIN:** Your Honor, we've qualified
13 Mr. Chinkin in air quality analysis, including emissions
14 inventories. This is important evidence, Your Honor, that we
15 need to work through and get into the record. And I don't
16 know what else we can do to lay a foundation for it. He's an
17 expert in the impacts of air pollution.

18 **THE COURT:** All right. Go ahead.

19 **MR. GOODSTEIN:** Thank you, Your Honor.

20 **THE WITNESS:** Okay. So I was talking about Mount
21 Mitchell, I believe.

22 **BY MR. GOODSTEIN:**

23 **Q.** Yes.

24 **A.** Mount Mitchell was an example of the important resources
25 in the western North Carolina area, and what I was going to

1 say was, if you go on a hike up Mount Mitchell, you'll see
2 more trees than you will see if you hike from Georgia to
3 Canada; you'll see more trees and plants than if you go
4 through all of Europe. There's a unique geology and plant
5 life development and animals in this area. This is a unique
6 area of the entire country. So when I'm looking at weighing
7 substantial impacts, I'm keeping that in mind as well.

8 Moving further south, we can see the Great Smoky
9 National Park itself is in the area between 4 to 8 parts per
10 billion impact of ozone just from John Sevier.

11 There are also probably impacts from Widows Creek. It's
12 just, remember, this is a composite of all the power plants'
13 impacts, so it's difficult to isolate on this figure.

14 And I just want to point out that the Great Smoky
15 Mountains National Park, as we'll talk about in more detail
16 later, has considerable concerns. The land managers for the
17 Great Smoky Mountains National Park have considerable concern
18 about the air quality impacts in the Great Smokies.

19 And just to be complete, I don't want to leave out
20 Asheville, you know, where we are here today. The Biltmore
21 Estate. Those impacts are somewhere between 2 to 4 parts per
22 billion of ozone.

23 The Pisgah National Forest. Joyce-Kilmer, one of the
24 virgin forests of America still standing. Joyce-Kilmer is in
25 the area of ozone impacts from both Widows Creek and John

1 Sevier. The Cherokee National Forest. Gorges. And I could
2 go on. Shining Rock. All these areas, very important
3 resources, most are being impacted. Great Smoky Mountains,
4 the most visited national park in America, is in an impact
5 area from these power plants.

6 Q. All right. Take a look at your closeups for PM2.5,
7 Mr. Chinkin. And you can start at Plaintiff's 148 in
8 evidence.

9 A. Well, similar to what we just talked about for ozone, we
10 have here our whole study area looking at the impacts from
11 the TVA coal-fired power plants on PM.

12 Again, PM is important for a number of reasons:
13 Visibility, air quality, health effects, and then,
14 ultimately, acid deposition.

15 So, again, looking at the big area, and then we'll focus
16 in, just like we did with ozone. You can see there is a
17 scale, and the scale is again gray to a dark blue. The gray
18 area means the impacts are less than .05 micrograms per cubic
19 meter and the blue areas -- darkest blue means it's greater
20 than 0.4 micrograms, or rounding, about a half a microgram or
21 greater.

22 And, again, to put this into context, the air quality
23 standard for particulate matter is 15, and there is a
24 background level of particulate matter in this country as
25 well. It's estimated to be somewhere between 4 and 5, maybe

1 as high as 6 micrograms per cubic meter as a background. So
 2 man's contribution is maybe 10 micrograms of that 15. And
 3 what's really important to keep in mind whether an impact is
 4 substantial enough for PM in terms of the Ambient Air Quality
 5 Standards, is how close are you to those standards. And if
 6 you look at places in this part of the country that are in
 7 violation of the National Ambient Air Quality Standards,
 8 they're actually pretty close to the standard. In fact,
 9 they're very close. You can be nonattainment of the standard
 10 if you're annual average PM2.5 is 15.1 or 15.2 or 15.3, and
 11 there are many areas that are that close to the standard, yet
 12 they violate, which means they have to spend considerable
 13 amount of money in resources to control sources in their area
 14 to get below the standard. So these levels of 0.2, or .3,
 15 .4, those are really important substantial impact levels.

16 **Q.** What's the implication of the CAIR decision to the
 17 projections for attainment for various caps?

18 **A.** Well, that's a really important issue. When the CAIR
 19 modeling was performed by the USEPA, much of the country
 20 rejoiced at the results because they didn't have to do much
 21 to attain the standards. The CAIR program would take care of
 22 it for them. By major sources in this country controlling
 23 their NOx and SO2 emissions under CAIR, the modeling showed
 24 that many areas would attain the standard without any
 25 additional controls. So now, in the absence of the CAIR

1 program, many more areas of this country will remain
2 nonattainment and have to implement considerable control
3 programs at very costly levels.

4 Q. Do you have a similar close-up for PM2.5, as we looked
5 at for ozone, on the second page of Plaintiff's Exhibit 148?

6 A. Yes, I do.

7 Q. So let's direct your attention to that, please. And can
8 you tell us what this one shows?

9 A. Well, what this shows, again, is the PM2.5 impacts from
10 the TVA coal-fired power plants. It also shows, highlighted,
11 the nonattainment counties. We've also identified the power
12 plants by name here.

13 And one thing I want to point out is this map doesn't
14 have sort of the bulls eye splatches that ozone did because
15 we're looking at an annual average of the PM impacts. So
16 that tends to smear it out somewhat from the day-to-day
17 variations. And ozone is more of a close-in phenomenon. As
18 you can see, each power plant had its ozone impact area
19 specifically. In the sense of PM, they tend to kind of all
20 merge together in a sense, sort of dependent upon the wind
21 patterns, if you will.

22 As you can see, there is a sort of a skewedness to this
23 pattern that tends to move things from the west to east in
24 terms of the concentration pattern, with the worst impact
25 being along the, sort of the Appalachians on the western side

1 there where you can see Kingston; and Bull Run and John
2 Sevier are the darkest blue areas, where the impacts are on
3 the order of greater than .4 micrograms per cubic meter.

4 But you can see that there are impacts at Colbert, in
5 Alabama. You can see Widows Creek in Alabama as well. You
6 can see these impacts in Kentucky. You can see the impacts
7 going into the North Carolina, South Carolina and Georgia,
8 throughout the area.

9 Remember, as long as it's not gray, these are impacts of
10 significant levels.

11 **Q.** And what are the counties bordered by the black line in
12 this picture?

13 **A.** Again, these black line counties are the nonattainment
14 counties. So, for example, you can see -- let me clear this
15 here. So you can see on this one here in the Knoxville area,
16 around Kingston and Bull Run, are nonattainment areas. And
17 so, again, these power plants are having impacts, direct
18 impacts, on nonattainment areas.

19 You can see Widows Creek is having an impact on PM2.5
20 nonattainment areas just slightly downwind from Georgia and
21 Tennessee.

22 **Q.** And we're looking at the impacts of TVA's excess
23 emissions in these figures?

24 **A.** That's correct.

25 **MR. FINE:** Your Honor, I'm going to have to once

1 again object to the argumentative nature of that question.

2 **THE COURT:** Overruled.

3 **BY MR. GOODSTEIN:**

4 **Q.** So let's go to the further close-up, Plaintiff's Exhibit
5 149 in evidence, and please explain to us, Mr. Chinkin, what
6 this one shows.

7 **A.** Similar to the zoomed-in version of the ozone map, we've
8 now zoomed in on the PM impact map. And, again, these are
9 impacts from TVA's coal-fired power plants. And using
10 similar color scheme and plotting scheme, in the green
11 hatched area are the national forests, in the sort of the
12 blue scale are the impacts, the power plants again have been
13 identified. We've identified the state parks. We've
14 identified the sensitive receptors, such as Grandfather
15 Mountain, as we did before, Shining Rock Wilderness,
16 et cetera, Joyce-Kilmer and Slickrock.

17 **Q.** And the Blue Ridge Parkway and Appalachian Trail is also
18 on this one.

19 **A.** Yes, that's correct. That was on the ozone map as well.
20 I forgot to point that out. Let me see if I can do that
21 here.

22 I'm trying to point out the Blue Ridge Parkway. Kind of
23 goes along down through here, and then the Appalachian Trail
24 goes along through here.

25 And what I'd like to do is point out the air quality

1 impacts, in this case for PM, from TVA's coal-fired power
2 plants on these sensitive receptors.

3 And similar to the ozone plot, it's important to keep in
4 mind the scale. There is nothing that's gray on this, so
5 everywhere is being impacted; it's just a question of how
6 much impact are they having. And you can see that as far
7 east as two nonattainment counties in North Carolina, Catawba
8 and -- Davidson County, Catawba, and, actually, a third one,
9 Guilford County, are in blue areas.

10 Let's talk about those. You can see that the farthest
11 east is in sort of a light aqua blue, if you will, and .1 to,
12 looks like about .2 maybe, PM2.5 micrograms. You move to the
13 west, you see Catawba County is in the darker blue area, so
14 it's even greater. That looks like the .2 to .3-microgram
15 area. So if, for example, Catawba's design value, which is
16 the level the EPA says it's currently having, is 15.2, well,
17 if you could subtract off the .2 to .3, they would be
18 attainment. So that's a significant impact in a
19 nonattainment area.

20 Looking at the sensitive receptors, you can clearly see
21 the Blue Ridge Parkway I've drawn and the Appalachian Trail
22 are going right through some of the highest impact areas.
23 The greatest impact areas are actually in Tennessee, in
24 Knoxville and the areas surrounding John Sevier. But there's
25 impacts throughout the area, through all the sensitive

1 receptors.

2 The Great Smoky Mountains is in the second to the
3 darkest blue areas, so it's .3 to .4 micrograms in the Smoky
4 Mountains.

5 **Q.** What are the concentrations in the plume coming off of
6 the John Sevier and these other power plants, what are the
7 concentrations that you see as far as impacts on the
8 Appalachian Trail and on the Blue Ridge Parkway?

9 **A.** Okay. Let's go back up and look at John Sevier's area,
10 which -- I'm going to get too many lines in here and we won't
11 be able to see them all. But if I can circle that area, you
12 can see that there are sort of two dark levels of blue now
13 crossing over now the Blue Ridge Parkway and the Appalachian
14 Trail, and those levels are quite substantial. That's
15 greater than .3 micrograms per cubic meter of PM2.5.

16 **Q.** If you would try to go a little slower, Mr. Chinkin,
17 that might help the court reporter and help keep our record
18 straight.

19 **A.** Thank you. Sorry.

20 **Q.** Sure. And what does this show you about the
21 nonattainment areas that are being currently impacted by
22 TVA's excess emissions in states outside of North Carolina?

23 **A.** So let's clear the lines on the map and look at -- it's
24 a little hard to see on this map, so I'm going to circle it.
25 In the Knoxville area, there are a number of nonattainment

1 counties that are shown in a gray line, but you can see that
2 in the Knoxville area, the combination of Kingston and Bull
3 Run's impact is greater than the .4 micrograms I spoke of, or
4 greater than about a half a microgram, in that nonattainment
5 area.

6 Q. How would you describe that impact on nonattainment
7 areas, in your experience?

8 A. My experience has been that a half a microgram impact is
9 huge, frankly. It would take many, many, many control
10 programs of many, many sources to achieve that level of
11 reduction. And in this case, we can get that level of
12 reduction by just controlling the emissions from two
13 facilities.

14 Q. And with regard to the Chattanooga area, can you
15 describe the impact that the Widows Creek plant is having on
16 Chattanooga?

17 A. Okay. So, similarly, I'm going to draw a circle around
18 that. Again, there are shown -- it's hard to see on my
19 image, but there are some gray lines there identifying the
20 nonattainment counties surrounding Chattanooga, and you can
21 see the Widows Creek power plant just to the southwest there.

22 Try that again with my arrow. And those impacts are on
23 the level of the next darkest blue, so those are greater than
24 .3 micrograms per cubic cube.

25 Q. Widows Creek is actually located in Alabama; is that

1 correct?

2 **A.** That's correct. So it's actually crossing state lines,
3 having impact on the nonattainment area both in Georgia and
4 in Tennessee.

5 **Q.** Could you show us where the nonattainment areas in
6 Georgia that are being impacted by Widows Creek current
7 emissions are located on this figure, please?

8 **A.** I think --

9 **MR. FINE:** Your Honor, I'm somewhat puzzled by this
10 testimony. I have to interpose an objection as to relevance.
11 It's my understanding --

12 **THE COURT:** I sustain the objection. Let's stick
13 with North Carolina and primarily the concerns in this case.

14 **MR. GOODSTEIN:** Your Honor, there are a lot of
15 impacts and benefits from this control program throughout the
16 region, Your Honor, and so we think it's very important that
17 the Court see all the information, particularly in the states
18 where these plants are located. There are going to be very
19 substantial benefits and they're having very substantial
20 impacts.

21 So this evidence is relevant, Your Honor, not only
22 to liability, because it confirms and firms the traceability
23 of this pollution --

24 **THE COURT:** Well, we are talking about Alabama and
25 we are talking about Kentucky, and, certainly, I'm interested

1 in Tennessee and western North Carolina, in fact, the whole
2 state of North Carolina, but I'm not particularly interested
3 in Georgia and these other states.

4 **MR. GOODSTEIN:** All right, Your Honor.

5 **THE COURT:** Those are the areas that seem to be
6 involved primarily in this lawsuit, the ones that I named.

7 **MR. GOODSTEIN:** Well, Your Honor, I think the
8 evidence that we've received so far, respectfully, and the
9 evidence that you will receive is going to show that this is
10 a regional issue, and that you have to look at --

11 **THE COURT:** I understand that.

12 **MR. GOODSTEIN:** -- the cost and the benefits on a
13 regional basis, Your Honor. So we just want to make sure the
14 Court has the complete picture of what -- how substantial
15 these impacts are, not only on a magnitude basis but also on
16 a geographic area.

17 **THE COURT:** But we don't need excessive detail as
18 to these other states. So let's move on.

19 **MR. GOODSTEIN:** All right. Thank you, Your Honor.

20 **BY MR. GOODSTEIN:**

21 **Q.** Mr. Chinkin, you've also had an opportunity to review
22 the modeling performed by TVA's experts.

23 **A.** Yes, I did.

24 **Q.** So you've reviewed the several reports that have been
25 issued by Dr. Tesche and Mr. Mueller in this case.

1 A. That's correct.

2 Q. And you reviewed their comments regarding the work that
3 you did with Mr. Wheeler at STI in support of North
4 Carolina's case here?

5 A. That's correct.

6 Q. And how did their modeling influence your analysis?

7 A. In reviewing their reports, I would first say that their
8 criticisms of our work didn't change our conclusions at all.
9 In fact, looking at their work was supportive of our
10 conclusions when you put their work in the proper context.

11 Q. All right. And did you prepare some figures which show
12 how, when properly interpreted, TVA's modeling supports your
13 conclusions?

14 A. Yes, I did.

15 Q. I'd like to refer you to Plaintiff's Exhibit 173.

16 Can you explain to us, Mr. Chinkin, what this figure
17 shows?

18 A. Sure. This is a figure from the Dr. Tesche and Mueller
19 report, and it is showing their modeling results for TVA's
20 contribution to annual average PM2.5, and these were
21 determined by their modelers using a technique called zeroing
22 out, which means remove TVA's coal-fired emissions from the
23 modeling run, hold everything else constant, and see what
24 that delta or what that impact is from their power plants.

25 Similar to ours, they have a scale. So you can see a

1 color-banded scale on the left. And that scale runs from
2 zero to one microgram in this case.

3 And what you can see based on their modeling results --
4 and they actually modeled year 2002 and called that their
5 current impact assessment. And in 2002, this plot shows
6 their impacts throughout the region, in Tennessee, Kentucky,
7 Alabama, North Carolina, et cetera. And what I'd like to
8 point out is that it is very similar to our findings that I
9 was just describing. And I will point out, specifically, if
10 you look in western North Carolina, you can see there are
11 some areas of a third level of blue, if you will, up, and
12 some sort of greenish areas, and those areas, if you look to
13 the scale, are the same order of magnitude of our impacts
14 from our modeling about one half of a microgram.

15 So, in fact, TVA's experts, in their report, show the
16 same impacts that we calculated using our model.

17 **Q.** All right. And have you had an opportunity to also, in
18 your work on this case, Mr. Chinkin, to look at the modeling
19 that was produced by the SAMI report?

20 **A.** Yes, I did.

21 **Q.** And how does that compare to your conclusions in this
22 case?

23 **A.** We spent quite a bit of time in the last several days
24 talking about SAMI and its extensive modeling efforts, and I
25 reviewed those modeling results, and, in fact, those have

1 similar corroborative findings. I think it's important to go
2 through those carefully, reminding everybody that SAMI's
3 approach was to assume a 10 percent reduction in emissions by
4 a state and to see what those impacts would be in downwind
5 states.

6 As we have heard discussion of in prior testimony, TVA
7 makes up a lot more than 10 percent of Tennessee's SO2
8 emissions, so it's important to quantify, using the SAMI
9 results, what TVA's impacts would be separate from just
10 saying what would a total state's impact be. But I'm
11 prepared to do that if we want to do that.

12 Q. So I'm going to refer your attention to Plaintiff's
13 Exhibit 171 and ask you to identify that.

14 Are these the same plots that were contained in your
15 letter report which is now in evidence?

16 A. That is correct.

17 Q. Without going through every single one, can you show us
18 a few examples that we can have blown up?

19 A. Sure. I'd just like to show a couple of these, maybe
20 three or four at most, to give a sense of the spatial extent
21 that SAMI calculated the impacts by reducing all of
22 Tennessee's emissions by ten percent. And keep in mind that
23 TVA makes up about, give or take, 70 percent or so of
24 Tennessee's SO2 emissions. So if you reduced those emissions
25 by only 10 percent, these maps show what that impact would

1 be.

2 So what I'd like to do is to go to the center top one,
3 which is dated July 15th, and blow that one up, and then
4 also, if we could bring the scale along. Let's look at the
5 scale, and you can see on the scale, the top darkest blue
6 color is about a half a microgram, .55, and it ranges down to
7 sort of the gray, which is basically no improvement, and you
8 can see with just a 10 percent change of Tennessee's SO2
9 emissions there are about, by their estimate, a half a
10 microgram improvement in western North Carolina. Again, very
11 consistent with what TVA's experts are saying, what our
12 modeling is showing.

13 There is just a couple other days, I think, that are
14 worth noting. If you look at, it's dated July 19th, second
15 one down on the left, I'd like to point out that, you know,
16 here is a day -- and we've heard lots of testimony about how
17 SAMI wasn't able to model the entire year, they didn't have
18 the technology in those days and the computer power we have
19 today. But in this episode, there are, in fact, no impacts
20 from reducing the Tennessee SO2 emissions in Tennessee, and
21 that's because -- I just want to make sure it was
22 highlighted -- that the SO2 emissions that come from power
23 plants, it takes time for those emissions to convert to SO4
24 sulfate particles.

25 So on this particular episode, the benefits accrued by

1 reducing emissions in Tennessee are actually in western North
2 Carolina, South Carolina and Georgia. There actually aren't
3 benefits in Tennessee that day. So it's a pretty complicated
4 problem, a regional problem. You have to consider how long
5 it takes the pollution to transport and transform in the
6 atmosphere.

7 Just a couple of, or maybe one more day. Just go one
8 down. It's dated May 12, 1993, again, showing another PM
9 event chart. And you can see again on this scale that there
10 are major impacts in this case in Tennessee and in Kentucky
11 and in Virginia and western North Carolina.

12 So, again, SAMI, down about a half a microgram impact
13 from these controls, similar to TVA's experts, similar to our
14 work.

15 Q. And while we're talking about SAMI, Mr. Chinkin, did you
16 hear the testimony about the memo that was read into the
17 record yesterday?

18 A. Yes. The memo that was referred to was work done by our
19 former president, Mr. Fred Lurmann, and Mr. Wheeler. I think
20 what I'd like to add to the comments that were made yesterday
21 is that we were hired by SAMI at the end of the SAMI project
22 to do a critical review so they knew how to do it better the
23 next time. It was not an intent to say that the modeling was
24 not useful. I think that was a mischaracterization.

25 Q. Did you also have an opportunity to review the modeling

1 that was used to support the Clean Air Interstate Rule by
2 USEPA?

3 A. That's correct.

4 Q. And what did that show about your conclusions in this
5 case?

6 A. The air quality modeling done by the USEPA for CAIR was
7 more modern. It used similar tools to that which we used in
8 this case, the CMAQ model; and, similarly, they did some
9 sensitivity runs, where they looked at the impacts by zeroing
10 out each state's emissions and seeing what those impacts were
11 in downwind states, similar to how we zeroed out -- or TVA
12 zeroed out TVA's power plants to see those impacts. It's a
13 very common approach for looking at air quality impacts. And
14 I have tables that will show that they calculated
15 approximately a half a microgram per cubic meter of impact in
16 North Carolina, similar to TVA, similar to SAMI, similar to
17 our results.

18 Q. I'd like to show you what's in evidence as Plaintiff's
19 Exhibit 11. Can you identify that document for us?

20 A. Yes, I can.

21 Q. All right. Now, this is a very large table, so if you
22 could navigate us through it to the pertinent information, we
23 can have that blown up on the screen, and that might be the
24 best way to look at it, if you don't mind doing that

25 Mr. Chinkin.

1 **A.** Okay. So I think what we need to go to is page 10 of
2 this exhibit.

3 **Q.** And if you could identify for us again, for the record,
4 what this document is, and then we'll go to --

5 **A.** Okay. I'm sorry. Go back to page one 1.

6 This is the technical support document for the final
7 Clean Air Interstate Rule, and this is from the USEPA. In
8 this particular document, if you go to page 2, is an
9 appendix, Appendix H to that document, and it provides
10 quantified detailed impact assessments for all the
11 nonattainment counties in the eastern United States, and so
12 it's a very large table. That's why we're focusing in on
13 just page 10.

14 **Q.** How does this USEPA air quality modeling support your
15 conclusions in this case?

16 **A.** Okay. Well, if we look -- if we can zoom in. Oh, we're
17 not there yet. Let's wait for page 10.

18 **MR. FINE:** I rise to interpose an objection, but
19 I'm not sure what page number they're actually -- that may be
20 your electronic page number.

21 **THE WITNESS:** Should I read the Bates number?
22 Would that be helpful?

23 **MR. FINE:** That would be very helpful, Mr. Chinkin.
24 Thank you.

25 **THE WITNESS:** On the bottom of this page, it says

1 NC00244721.

2 **MR. FINE:** Thank you, Mr. Chinkin.

3 The one actually on the screen seems to be 720.

4 **THE WITNESS:** I don't think that's the right page.
5 Can we move that down a little? That's not the right page
6 yet.

7 **MR. GOODSTEIN:** So it's the one with the short
8 table on it.

9 **THE WITNESS:** It's a short table, with "Tennessee"
10 as the first row.

11 **MR. GOODSTEIN:** Okay. Everybody have it?

12 And it's also up on the screen.

13 **BY MR. GOODSTEIN:**

14 **Q.** All right. Mr. Chinkin, can you explain to us what this
15 shows?

16 **A.** I'm sorry. This may not be the right page. What we
17 want -- I'm sorry. We want the page -- this tag may have
18 been moved. We want the page that shows "North Carolina" in
19 the left-hand column. There it is. It's the page before
20 that. I'm sorry. It is 720.

21 **Q.** All right. Here we go.

22 **A.** Okay.

23 **MR. GOODSTEIN:** Sorry about the confusion, Your
24 Honor.

25 **THE COURT:** All right.

1 **BY MR. GOODSTEIN:**

2 **Q.** All right. Focus us in, Mr. Chinkin, on the pertinent
3 information on this page, now that we found the page. I know
4 it's a very large table, so --

5 **A.** It's a very large table, but all we need to look at,
6 really, are two rows. And if you go on the very far left
7 column, where it says "states" in the downwind nonattainment
8 county columns, you'll see there are two rows for North
9 Carolina, one row for Catawba and one row for Davidson County
10 nonattainment areas. And if we move to the right, what the
11 table shows is the quantified impact estimated by the USEPA
12 in micrograms per cubic meter from zeroing out all of
13 Tennessee's SO2 emissions, and you can see the results show a
14 .62 micrograms for Catawba County and a .30 micrograms for
15 Davidson County.

16 Now, I remind you, this is zeroing out all of
17 Tennessee's emissions. TVA comprises about 70 percent, if we
18 want to make an estimate, of their SO2 emissions. So I'm
19 saying that the impact is somewhat less than the .62 because
20 it's not 100 percent of the SO2 in Tennessee, but it
21 certainly is supportive of about a half a microgram of impact
22 from TVA's coal-fired power plants in North Carolina's
23 nonattainment counties. This is USEPA saying this.

24 I would also like to point out, there was conversation
25 yesterday about how reliable models are, and as you can see

1 on this table, just looking at any random number you want,
2 EPA reports these numbers to the nearest one-hundredth of a
3 microgram per cubic meter. You can see just above Catawba
4 County is New York, New York, and they show 0.06. That is
5 six one-hundredths of a microgram. The one above that is
6 0.07. That is one one-hundredth more. That is seven
7 one-hundredths of a microgram. So this is very common
8 practice by USEPA, as well as we did in our model, to report
9 data to one one-hundredth of a microgram.

10 **Q.** There was some comment yesterday about how monitored
11 values compared to model values. Are these results in the
12 modeling that we looked at this morning from these various
13 sources consistent with monitored results?

14 **A.** They're consistent. And it's really important to
15 understand that there are differences between monitored data
16 and modeled data. You know, I've given a lot of thought of
17 how to explain to the public in general how to understand air
18 quality, because it's a complicated topic area, and I think
19 several examples I can come up with that will hopefully help
20 explain why we have confidence in these values at these
21 levels would be to think of, growing up as a child, using a
22 thermometer. We all had mercury thermometers as kids. Your
23 mom would shake it and then stick it under your tongue and
24 try to measure your temperature. Well, today we don't do
25 that. Today we have digital thermometers. You stick

1 something in your ear or you put it on your forehead, and it
2 reports your temperature to two decimal points. Far more
3 accurate technology.

4 Well, maybe the technology for air quality hasn't quite
5 developed as quickly as modern computer technology has. That
6 doesn't mean you don't believe the modern computer technology
7 results. In fact, I actually have more confidence in the
8 modern technology than the monitoring data technology.

9 I also want to point out that modern PM measurement
10 techniques are required to measure to the nearest tenth of
11 microgram, which is only one order of magnitude greater than
12 the modeling, which is at one one-hundredth of a microgram.
13 I think there was some confusion yesterday saying that it was
14 several orders of magnitude different. It is not. It's only
15 one order of magnitude different.

16 I'd also like to point out that if you think about what
17 a model is doing, a model is a grid. It represents, say, ten
18 miles on a side, and if you think about, you measure one
19 value on one side of the grid and another value on the other
20 side of the grid. Let's say it's 10.5 here and it's 10 over
21 here. Well, you know there's not a wall. It's 10.5 and it's
22 probably 10.4, 10.3, 10.2. Models are able to interpret
23 that. We can't afford to put air quality monitors in every
24 possible spot to measure everywhere, but models can do that
25 for us. They're a very powerful tool to understand very

1 accurately what the air quality is in an area, in fact, much
2 better than monitors can.

3 Q. And that's why USEPA has used modeling like this just
4 for their air pollution regulations.

5 A. That's correct.

6 Q. And that's why SAMI used modeling.

7 A. That's correct.

8 Q. And that's why you're using the same type of approach
9 for this case.

10 A. That's correct.

11 Q. Now, you also mentioned, Mr. Chinkin, that you looked at
12 the types of resources that were being impacted as part of
13 your conclusion that TVA is currently having a very
14 substantial impact on air quality of the region.

15 What types of information about resources did you
16 consider in arriving at that conclusion?

17 A. Well, similar to other studies I've done around the
18 country and around the world, I use publicly available
19 information. I tend to rely on governmental reports. And
20 that's what I did in this case as well.

21 Q. I'd like to show you Plaintiff's Exhibit 176, which
22 should be an official report issued by the National Park
23 Service on air quality in the national parks.

24 Can you identify this?

25 A. Yes, I can.

1 **MR. GOODSTEIN:** And, Your Honor, if I could
2 approach, we have a certified copy of this and another
3 official report from the National Park Service.

4 **THE COURT:** All right, sir.

5 **MR. GOODSTEIN:** And, Your Honor, you could put
6 those in your book. They have three holes on the side, but
7 those are original copies and they've been certified as a
8 public record by the National Park Service.

9 **THE COURT:** Okay.

10 **BY MR. GOODSTEIN:**

11 **Q.** Mr. Chinkin, can you explain how you considered this
12 information, these official reports from the National Park
13 Service, in your analysis of the current impacts of TVA's
14 emissions?

15 **A.** Okay. As I think I said at the beginning, I view the --
16 one way to value the substantial nature of the impacts is
17 looking at air quality standards. We've talked about that.
18 Another way to value the substantial nature of these impacts
19 is what resources are being impacted.

20 So I've looked at this report from the national parks
21 and put out by the National Park Service and looked at what
22 they had to say about their concerns about air quality in the
23 national parks. And this report is somewhat amazing, if I
24 can use that adjective, in that this is a report on the
25 entire country, air quality in the national parks, and for

1 the entire country, they have lots of national parks that
 2 they are managing, but they have devoted an entire chapter of
 3 this report to the Great Smoky Mountains National Park. It
 4 is the only national park that has its own chapter devoted to
 5 it by the National Park Service. Their concerns about the
 6 air quality impact in this park are tremendous.

7 And what I'd like to do is to walk the Court through the
 8 chapter on the National Park Service's estimates of air
 9 quality impacts on the resources in the Great Smoky
 10 Mountains.

11 **MR. FINE:** Your Honor, I believe if the document is
 12 coming into evidence, it will speak for itself. I'm not sure
 13 that we need Mr. Chinkin to take us through it.

14 **MR. GOODSTEIN:** Your Honor, we're not going to read
 15 anything. We just wanted Mr. Chinkin to point out a couple
 16 of parts of this report, particularly the chapter on the
 17 Great Smoky Mountains National Park and the air pollution
 18 impacts that are currently going on there, and point the
 19 Court to the particular areas that he considered most
 20 important in his analysis.

21 **THE COURT:** All right. I'll let him proceed.
 22 Objection is overruled.

23 **MR. GOODSTEIN:** Thank you, Your Honor.

24 **THE WITNESS:** So if I may highlight a few areas of
 25 this.

1 **BY MR. GOODSTEIN:**

2 **Q.** Yes.

3 **A.** Let's look at Chapter 4, and let's start off with just
4 the title of the chapter. "Great Smoky Mountains National
5 Park Threatened By Air Pollution."

6 **Q.** Just take it slow just for the record.

7 **A.** Okay. What you can see, throughout this chapter, the
8 National Park Service has highlighted a number of critical
9 parameters of concern. There are sections that will cover
10 ozone impacts, visibility impacts, acid deposition impacts,
11 and air quality effects on the public in the national park.

12 And I just want to point out that the National Park
13 Service considers the Great Smoky Mountains National Park the
14 crown jewel of the national park system. It is visited by
15 more visitors than any other national park.

16 There are a number of conclusions throughout this
17 chapter. I'd just like to highlight a couple.

18 For example, the National Park Service says the current
19 summer average visibility in the National Parks, in the Great
20 Smokies, is about --

21 **THE COURT:** What page are you on?

22 **THE WITNESS:** Okay. I'm on page No. 36, Your
23 Honor. It has a pie chart on the left-hand column.

24 **THE COURT:** All right. Hold on just a minute.

25 Yes, I'm with you.

1 **THE WITNESS:** In the middle of the right-hand
2 column, they're discussing visibility impacts, and they point
3 out that their estimate of the current summer average
4 visibility is 15 miles. And they go on to state that, in the
5 absence of human-caused air pollution, it should be 77 miles.
6 So the visibility is being dramatically impacted by air
7 quality.

8 **BY MR. GOODSTEIN:**

9 **Q.** And does the Park Service in this report conclude what
10 the vast majority of those visibility impacts are attributed
11 to as far as an air pollutant?

12 **A.** Yes, they do. And at the bottom of that paragraph it
13 says that they have concluded that the primary source is the
14 burning of sulfur coal in eastern power plants and in
15 industrial facilities. That's the National Park Service's
16 conclusion.

17 **Q.** And what does the pie chart on the left side of that
18 page show?

19 **A.** Well, the pie chart is a scientific way of looking at
20 what is contributing to the visibility impact, and what it
21 shows is that 83 percent of the visibility impact is coming
22 from sulfates, which are the converted SO₂ from coal-fired
23 power plants.

24 **Q.** And based on your experience, what is the major source
25 of sulfates in the Southeast?

1 A. Coal-fired power plants.

2 Q. Like TVA's coal-fired power plants?

3 A. That is correct.

4 Q. So you've been able to conclude from this that TVA's
5 current emissions, their excess emissions from their
6 coal-fired power plants, are having a substantial adverse
7 impact on visibility in the Great Smoky Mountains National
8 Park?

9 A. That's correct.

10 Q. Can you show us what other findings in this official
11 report from the Park Service that you considered important in
12 reaching your conclusions?

13 A. Okay. If we turn to the next page. Again, this is just
14 highlighting it. This is the section dealing with acid
15 deposition in the National Park. And without going into the
16 details, this section describes in a very quantifying way how
17 much impact acid deposition is having on the streams and on
18 the plants in the Great Smoky National Park.

19 MR. FINE: Your Honor, again, very mindful of the
20 Court's prior rulings on the subject, but for the
21 preservation of the record, we'd interpose an objection about
22 any testimony concerning sulfate deposition.

23 THE COURT: Yes. Overruled.

24 BY MR. GOODSTEIN:

25 Q. Did you give us a page? I'm sorry, Mr. Chinkin.

1 A. Oh, I'm sorry. It was the next page. That was page
2 No. 37, was on the deposition.

3 Q. Okay. And what were the findings of the National Park
4 Service regarding the impacts of deposition on the Great
5 Smoky Mountains National Park?

6 A. Their overall finding is that the Great Smoky National
7 Park receives some of the highest rates of nitrogen and
8 sulfur deposition of any monitored site in North America.

9 Q. And based on your analysis in this case, are TVA's
10 excess emissions from their coal-fired power plants
11 contributing to that deposition in the Great Smoky Mountains
12 National Park?

13 A. Yes, they are.

14 Q. And how do you know that?

15 A. We have modeling results that we could show that will
16 show their impact on acid deposition.

17 Q. Let's finish going through this report first, please,
18 Mr. Chinkin, and if you can just highlight the other portions
19 that you considered particularly important in your analysis.

20 A. Okay. There's just a couple more I'd like to highlight.
21 One is on page 39.

22 On page 39, you see some photographs that the National
23 Park Service has taken showing the damage to the foliage from
24 ozone. And there are some comments throughout the section
25 about how sensitive the plants are to ozone damage and

1 that -- there is also data in this chapter showing that, by
2 their estimate, the National Park Service has estimated that
3 there is no location with more ozone impact in eastern
4 America, east of the Rockies, than the Great Smokies National
5 Park, second only to Atlanta.

6 Q. And based on your analysis, Mr. Chinkin, are the excess
7 emissions from TVA's coal-fired power plants contributing to
8 that ozone pollution at the Great Smoky Mountains National
9 Park?

10 A. Yes, it is. We can see that on the poster here that we
11 show in the previous exhibit. The impact from the John
12 Sevier is right into the Great Smoky Mountains National Park.

13 Q. And you're referring to Plaintiff's Exhibit 156 that's
14 in evidence?

15 A. I can't see the number, but it's -- I could confirm
16 that.

17 Yes, that is correct, 156.

18 Q. Anything else from this report that you want to
19 highlight for us right now?

20 A. No. I think that concludes my comments on that report.

21 Q. All right. You also considered an air quality folio
22 that was issued by the Great Smoky National Park yourself in
23 your analysis.

24 A. That's correct.

25 Q. I'm going to show you Plaintiff's Exhibit 174 for

1 identification. Can you identify that?

2 A. Yes. That is the Great Smoky Mountains National Park
3 management folio that I reviewed.

4 Q. And can you tell us what you know about how this report
5 is prepared and issued by the National Park Service?

6 A. Well, the first report that we just talked about is
7 prepared, you know, not very frequently, because it's a major
8 report, but these folios that are put out by the individual
9 park come out more frequently. And this particular one --
10 it's kind of hard to see on my copy, but I believe this is
11 revision 3/2006 version of this folio, so it's updated
12 periodically with what their concerns are about air quality.

13 Q. And in particular -- so this is another official report
14 by the Great Smoky Mountains National Park?

15 A. That's correct.

16 Q. What, in particular, in this folio, Plaintiff's Exhibit
17 174, did you consider important in your analysis?

18 A. There's just a couple things I'd like to highlight from
19 this folio. One is the title of the section, on the second
20 page -- and I can't quite see a page number. I'm not sure if
21 it's numbered. It's the page that shows the photographs with
22 and without air pollution. But what I'd like to highlight is
23 the section entitled, "Ground Level Ozone Pollution Threatens
24 People and Plants."

25 And I'd like to point out that, you know, even though I

1 study air pollution, that's my job, all around the world, I
2 value natural resources wherever they are, and I visited the
3 Great Smoky Mountains, and the "Great Smoky Mountains
4 Official Newspaper and Guide For Visitors" that I picked up
5 last Thursday, the title story: "Is The Air Okay To Go
6 Hiking Today?" I found that shocking, to go to the crown
7 jewel of national parks and I probably shouldn't be hiking
8 that day.

9 "The Ground Level Ozone Pollution Threatens People and
10 Plants." I don't think I need to say any more about that
11 section of the report. It's a critical problem.

12 There is one other thing I'd like to highlight in this
13 report, not on ozone, but --

14 **Q.** Please do so.

15 **A.** If I could direct your attention to what amounts to the
16 last page of this exhibit, there's a couple points I'd like
17 to make.

18 One is that it says -- and I would actually like to read
19 this sentence exactly, so I get the quote correctly.

20 "According to the National Park Service, TVA announced
21 in 2001 that they will be installing sulfur dioxide controls,
22 scrubbers, on the two power plants closest to the park by
23 2010, which will reduce sulfur dioxide emissions from those
24 plants by over 90 percent and improve the park's air quality
25 by lessening haze, particle pollution and acid rain."

1 Q. So based on your analysis, Mr. Chinkin, would the
2 additional controls sought by North Carolina in this case on
3 TVA power plants improve visibility in the Great Smoky
4 Mountains National Park?

5 A. Yes, it would.

6 Q. Would it reduce acid deposition in the Great Smoky
7 Mountains National Park?

8 A. Yes, it would.

9 Q. And would those additional controls sought by North
10 Carolina reduce ozone pollution in the Great Smoky Mountains
11 National Park?

12 A. Yes, it would.

13 Q. Do these excess emissions from TVA's power plants also
14 impact national forests in the region?

15 A. Yes, they do.

16 Q. And what national forest resources are, in particular,
17 affected by TVA's excess emissions, based on your analysis?

18 A. As I pointed out on Exhibit 156 earlier, the exhibit for
19 PM, there are a number of national forests in the very high
20 impact areas from the emissions from TVA's coal-fired power
21 plants. Specifically, you know, we can talk about the
22 national forests identified on these figures, Pisgah National
23 Forest, you know, the Shining Rock Wilderness Areas,
24 Nantahala National Forest. You know, there's many forests,
25 many wilderness areas, state and federal, that are in these

1 very high impact areas.

2 **Q.** And as we heard the other day, is the Forest Service
3 taking action to protect these resources, trying to take
4 whatever actions it can, from this type of pollution?

5 **MR. FINE:** Your Honor, I think we've had a good
6 deal of leading questions and I have to object to this one.

7 **MR. GOODSTEIN:** I can rephrase, Your Honor.

8 **THE COURT:** Yes.

9 **MR. GOODSTEIN:** Thank you, Your Honor.

10 **BY MR. GOODSTEIN:**

11 **Q.** Are you aware of the Forest Service's concerns about
12 these type of air pollution impacts on the land that they
13 manage?

14 **A.** Yes, I am, both from reading their reports and the
15 testimony from a few days ago.

16 **Q.** And what about wilderness areas in this region? Are
17 they being negatively impacted with the excess air pollution
18 from TVA's coal-fired power plants?

19 **MR. FINE:** Your Honor, I'll object to the leading
20 nature of the question and the argumentative nature of the
21 question.

22 **THE COURT:** Don't lead the witness.

23 **MR. GOODSTEIN:** Thank you, Your Honor.

24 **BY MR. GOODSTEIN:**

25 **Q.** Your analysis, did you consider the impact on wilderness

1 areas?

2 **A.** Yes, we did.

3 **Q.** And what did that analysis show?

4 **A.** The analysis showed that the wilderness areas that were
 5 actually identified by Mr. Jackson a few days ago are some of
 6 the wilderness areas that are getting the most impact. The
 7 ones he's most concerned about are, in fact, the ones getting
 8 the most impact from the TVA power plant emissions, and we
 9 have some results that will show that.

10 **Q.** So let's look at Plaintiff's Exhibit 158, which is in
 11 evidence.

12 **MR. GOODSTEIN:** Before we do that, I'd like to
 13 offer a few exhibits into evidence, Your Honor. These
 14 official reports from the National Park Service, 176 and 174,
 15 we'd offer at this time.

16 **THE COURT:** All right. I have 176.

17 **MR. GOODSTEIN:** 174 should be the final one.

18 **THE COURT:** I have it. Yes, it's before me. And
 19 you move to introduce those?

20 **MR. GOODSTEIN:** Yes.

21 **THE COURT:** Let those be admitted.

22 **(Plaintiff's Exhibits 174 and 176 received.)**

23 **MR. GOODSTEIN:** And the plots from SAMI that we
 24 went over, Plaintiff's Exhibit 174, we'd offer those into
 25 evidence at this time as well, Your Honor.

1 **THE COURT:** All right. Let it be admitted.

2 **BY MR. GOODSTEIN:**

3 **Q.** Now, Mr. Chinkin, referring your attention to
4 Plaintiff's Exhibit 178, are these figures showing the
5 results of your modeling for sulfate deposition?

6 **MR. FINE:** Your Honor, I'm sorry. I believe
7 Mr. Goodstein is referring to Plaintiff's Exhibit 158, not
8 178.

9 **MR. GOODSTEIN:** I'm sorry. Did I say 178? I meant
10 158.

11 Thank you, counsel.

12 **THE WITNESS:** That is correct.

13 **THE COURT:** Okay.

14 **BY MR. GOODSTEIN:**

15 **Q.** So now referring your attention to Plaintiff's Exhibit
16 158, Mr. Chinkin, can you show us how you concluded from your
17 results that sulfate deposition is being contributed to by
18 TVA's excess emissions from it's power plants?

19 **A.** Okay.

20 **MR. FINE:** Your Honor, again for the record, any
21 testimony about sulfate deposition is objected to, and we
22 are, of course, mindful of the Court's prior rulings.

23 **MR. GOODSTEIN:** Go ahead, Mr. Chinkin.

24 **THE COURT:** All right. Overruled. Go ahead.

25 **THE WITNESS:** Okay. What we have on the figure

1 here in front of us, similar to the ozone and PM figures I
 2 showed earlier, on the left is the model's prediction of the
 3 actual concentrations, in this case deposition. You can see
 4 the scale is sort of green in the cleanest areas, and it goes
 5 up to yellow, orange, all the way to purple. And these are
 6 kilograms per hector of deposition of sulfate. On the right
 7 is how much that will be improved by removing the excess
 8 emissions from the TVA coal-fired power plants.

9 And what I'd like to point out is that the areas
 10 that Mr. Jackson was concerned about, the national forest
 11 wilderness areas, are located along the high ridge mountains.
 12 That's where they are. And when you look at the figure on
 13 the left -- and I will circle it here -- you can see all the
 14 reds and purples there are along the high ridges of the Great
 15 Smoky Mountains, and when you look at the figure on the
 16 right, those are the areas in Tennessee and Kentucky and a
 17 little bit into western North Carolina that will achieve the
 18 greatest benefits from removing the TVA emissions.

19 So the areas that are most sensitive are the areas
 20 that will accrue the greatest benefits.

21 **Q.** Then you have a similar presentation of your results for
 22 nitrate deposition, which should be Plaintiff's Exhibit 159
 23 in evidence.

24 **A.** That's correct.

25 **Q.** Can you explain to us what that shows?

1 **A.** To the unaided eye, this particular plot looks a lot
2 like the last figure I just showed for sulfate deposition,
3 but this is for nitrate deposition, which is the conversion
4 of NOx into particles called nitrate particles.

5 Again, I will circle the areas here that are the reds
6 and the purples, which are the highest deposition rates in
7 the area. And, again, on the right, you can see the areas
8 with the greatest improvements from removing of those
9 emissions from the TVA coal-fired power plants would be
10 accrued in those areas with the greatest deposition rates.
11 So, like with sulfate, those areas most sensitive to nitrate
12 would accrue the greatest benefits.

13 **Q.** And did you also consider the effects of TVA's excess
14 pollutions from power plants on visibility?

15 **A.** Yes, we did.

16 **Q.** I'll refer you to Plaintiff's Exhibit 160, which is in
17 evidence. And what do these -- how do these results factor
18 into your conclusion that TVA's excess emissions from their
19 power plants are having substantial impacts on air quality in
20 the region?

21 **A.** Well, yesterday, Mr. Wheeler pointed out the specifics
22 of the actual impact at a given Class 1 area. I'd like to
23 step back a little bit and say the TVA coal-fired power plant
24 fleet is impacting a wide area of Class 1 areas. Not just
25 one or two, but all the Class 1 areas in this region are

1 being impacted by TVA's coal-fired power plants.

2 So while this table depicts the worst impact or the best
3 improvement, flip side of the same coin, on a given day, the
4 point to be made is that all of the entire area, the
5 wilderness areas, the Great Smoky Mountains National Park,
6 Grandfather Mountain, Clingman's Dome, going through the
7 list, they will all experience improvements when TVA removes
8 those excess emissions.

9 Q. And you're relying on Plaintiff's Exhibit 160, 161 and
10 162 that are in evidence?

11 A. That is correct.

12 Q. Now, you mentioned earlier, Mr. Chinkin, that you've had
13 an opportunity to review TVA's expert reports, which
14 commented on your reports.

15 A. Yes, that's correct.

16 Q. Did those comments on your analysis change your
17 conclusions in any way?

18 A. Not in any way.

19 Q. Did you consider and evaluate the emissions inventories
20 that were used by TVA's modelers?

21 A. Yes, we did.

22 Q. Did you find any discrepancies in that analysis?

23 A. Yes, we did.

24 Q. Did you prepare a figure which shows those
25 discrepancies?

1 A. Yes, we did.

2 Q. I'd like to refer your attention to Plaintiff's Exhibit
3 183.

4 Is this a figure that you prepared, Mr. Chinkin?

5 A. Yes, it is.

6 Q. And can you tell us what it shows?

7 A. This is a figure that is showing the SO2 emissions that
8 were obtained from a number of sources of information. There
9 are a series of three bars for each of TVA's coal-fired power
10 plants in this figure. Each bar represents the values that
11 were to be used for the emissions from these coal-fired power
12 plants in the modeling analysis of Tesche and Mueller, and
13 what we found when we evaluated each of these pieces of
14 information, that I'll talk about in just a minute, we found
15 that there were discrepancies between all three.

16 So, for example, if we look at the very first set of
17 three columns, you'll see the blue column is labeled "Scott."
18 That was their emissions control technology planning person,
19 as I understand it, on the TVA side. The red column is from
20 Dr. Tesche's report, where he said what emissions he was
21 modeling.

22 We had our staff scrutinize the emissions inventory
23 input files that we received from Dr. Tesche, and we found
24 the yellow column, the model emission inventory -- and what
25 you would expect is that, like, at Bull Run, which I will

1 circle here, all three columns should be the same. What
 2 Mr. Scott said he used, what Dr. Tesche said he used should
 3 be what they used. But I remove the circle now and show that
 4 at Allen, for example, Dr. Tesche said that he used the
 5 reports of Mr. Scott's emissions inventory, but in fact
 6 didn't model those. He modeled substantially different
 7 numbers. In fact, his model emissions were approximately
 8 18,000 or 15,000 tons wrong. It's not a small mistake.

9 And so if you look across this table, you'll see that
 10 there are discrepancies at a number of these facilities, what
 11 was reported to be used, what was used, what was supposed to
 12 be used is sometimes higher and sometimes lower.

13 So while, overall, I think Dr. Tesche's work
 14 corroborates our findings, these kind of discrepancies, as a
 15 scientist, cause me not to give his conclusions as much
 16 weight as I might otherwise if they didn't have these
 17 discrepancies in their work.

18 **THE COURT:** All right, gentlemen. I think we'll
 19 take our midmorning recess of 15 minutes.

20 **MR. LANCASTER:** Thank you, Your Honor.

21 **THE COURT:** Recess 15 minutes.

22 **(Recess.)**

23 **MR. GOODSTEIN:** Thank you, Your Honor.

24 **BY MR. GOODSTEIN:**

25 **Q.** All right, Mr. Chinkin, we were on Plaintiff's Exhibit

1 183 and you were describing the discrepancies that you found
2 in the emissions inventories and documentation of those
3 emissions that Dr. Tesche and Mr. Mueller used.

4 A. That's correct.

5 Q. Can you summarize what your conclusions were, having
6 identified these discrepancies?

7 A. Yes. On the Exhibit 183, I pointed out that there were
8 a number of discrepancies. I will circle them.

9 Q. And this is on the sulfur dioxide emissions?

10 A. That's correct.

11 Q. And did you find similar discrepancies on the nitrogen
12 oxide emissions?

13 A. Yes, I did.

14 Q. And are those shown in Plaintiff's Exhibit 182?

15 A. Yes, they are.

16 Q. And can you identify those for us?

17 What was the significance for you in your consideration
18 of Dr. Tesche and Mr. Mueller's comments and their
19 conclusions of these discrepancies?

20 A. The important thing here isn't so much the magnitude of
21 these discrepancies. I think what concerned me the most was
22 the number and the type of discrepancies that we saw. When
23 you are trying your best to tell somebody the impacts of
24 controlling a source, you spend your most effort making sure
25 you get that right. The emissions from that source are where

1 your greatest focus should be, and when there were errors in
2 those sources that they were most focused on, it gives me
3 pause about their conclusions they reached, despite not
4 paying attention, frankly, to the most important things they
5 should have been paying attention to.

6 Q. What about the display techniques that Dr. Tesche and
7 Mr. Mueller used in their report? How did that factor in
8 when you were evaluating their conclusions?

9 A. Well, that actually had sort of a twofold effect on my
10 review of their work.

11 Number one, it made it difficult to interpret it, but
12 properly interpreted, their results actually corroborated our
13 findings.

14 But, secondly, I find their work, frankly, to be very
15 mischaracterizing in result, very misleading, and I prepared
16 some displays to show what I mean by that.

17 Q. All right. So I'll refer your attention to Plaintiff's
18 Exhibit 192. Can you identify that for us?

19 A. Yes. This is a figure and figure caption from the
20 Tesche and Mueller report, expert report that we received.
21 This particular figure is from their executive summary, and
22 it is showing their estimate of ozone impacts from -- well,
23 it's kind of complicated. Let me slow down and explain this.

24 They were trying to show the difference between the
25 benefits that we were showing from reducing TVA's coal-fired

1 power plant emissions using the Clean Smokestacks Act
2 equivalent and what they say TVA's Clean Air Plan would
3 achieve.

4 So this isn't the full benefits of the Clean Smokestacks
5 Act applied to TVA. It's the difference between what they
6 say will happen in 2013 and what Dr. Staudt said 2013 would
7 look like. And what you see on this plot is that difference.
8 And what I wanted to point out is that it looks like the only
9 real impacts are sort of -- mostly in Tennessee, a little bit
10 in Kentucky and a little bit in Alabama and Georgia because
11 of how they displayed it.

12 If you look at the scale on the left, you'll notice that
13 the colors stop at 2 parts per billion, or ppb, and that gray
14 area looks like it encompasses much of the eastern United
15 States. And as we have shown in our modeling -- and I've got
16 another plot that we can show in a minute that will redisplay
17 the same data using scales that go lower than 2 parts per
18 billion that will show a dramatically different view of the
19 same information. That's why I believe that it's sort of
20 misleading or mischaracterizing to display it this way.

21 Furthermore, in the figure caption, they use words that
22 I would hope we could all agree are misleading or
23 mischaracterizing the results, and I'll point those out.

24 If you look at the third row of the figure caption, near
25 the end of that row, after the comma, it says -- well,

1 actually, let's go to the beginning of the sentence in
2 parentheses, so we have a complete sentence for the record.

3 "Across the seven-month summertime episode, the CSS" --
4 that's what they're referring to as the Clean Smokestacks
5 Act -- "scenario yields slight ozone benefits" -- and then in
6 brackets -- "10 to 16 parts per billion in Eastern Tennessee
7 and Northern Alabama."

8 Well, I would say that, as a scientist in this field for
9 almost 30 years now, 10 to 16 parts per billion, half of the
10 difference between natural background and the Ambient Air
11 Quality Standard of 75 cannot be described as slight. That
12 is a huge benefit, and to use words like that, I, frankly,
13 find surprising.

14 I know Dr. Tesche. I've worked with him. I worked at
15 the same firm with him. I'm shocked that he used those
16 words.

17 Q. Would you describe these benefits as slight?

18 A. No, I would not.

19 Q. How would you describe them?

20 A. I would describe these as huge, frankly. I have many,
21 many projects and clients I have worked for who were pleased
22 to see 1 or 2 parts per billion control measures. 10 to 16
23 is a huge benefit.

24 Q. And did you prepare a plot that shows this data properly
25 displayed?

1 **A.** Yes, I did.

2 **Q.** Like to show you Plaintiff's Exhibit 193. And can you
3 explain to us what this shows?

4 **A.** This is a figure that is basically taking the same exact
5 data from Dr. Tesche's reports and redisplaying them on a
6 scale that goes lower than 2 parts per billion; so you can
7 actually see all the data in that gray area that was on that
8 plot. And as you can see, similar to that plot, there was
9 major impacts in Tennessee, Northern Alabama, just as their
10 plot showed, but what you can also see is that there are
11 impacts that extend throughout the entire domain, all the way
12 to the ocean, all the way to the Gulf of Mexico.

13 You can see from their own work, there are impacts
14 throughout North Carolina. You can see the scale and you can
15 see that those impacts are in the range of sort of light
16 blue, light green and yellow. So they're in the order of 1
17 to 2 to 4 to 6 parts per billion. And as I said earlier,
18 those are substantial impacts and, therefore, substantial
19 benefits would be accrued by reducing ozone by that amount.

20 **MR. GOODSTEIN:** Your Honor, we offer Plaintiff's
21 Exhibit 193 into evidence.

22 **THE COURT:** Let it be admitted.

23 **(Plaintiff's Exhibit No. 193 received.)**

24 **BY MR. GOODSTEIN:**

25 **Q.** Do you have another summary from Dr. Tesche and

1 Mr. Mueller's report that you can show us which, when
2 properly interpreted, support your conclusions, Mr. Chinkin?

3 A. Yes, I do.

4 Q. I'd like to show you Exhibit 191 for identification.
5 And there are a lot of numbers on this page, so maybe you can
6 focus us in on the screen to the particular ones that you
7 want to discuss.

8 A. Okay. Well, let me first say that, overall, this set of
9 tables comes from Dr. Tesche and Mueller's supplemental
10 report. And what I'd like to do is, I will walk through each
11 sub-table one by one so you don't have to look at all the
12 details here, and we'll blow them up on the screen and look
13 at the individual cells that I'd like to talk about.

14 And what's important is to remember what this is. This
15 is the result of TVA's modelers predictions. This is their
16 modeling results. It's not STI's; it's not EPA's; it's not
17 SAMI's. This is TVA's own modeling results. And what these
18 tables are showing are what their estimate is for impacts in
19 2013 from TVA sources, and it will be on ozone, PM,
20 visibility. We'll go through each one.

21 I need to remind everybody that their estimates of
22 impacts assume controls on facilities that are not yet
23 controlled. So these estimated impacts need to be multiplied
24 by about two to compare with our estimate of impacts using
25 the Clean Smokestacks Act's equivalent rates. So while I'm

1 going to show their numbers and their numbers are significant
2 even by themselves, these numbers should be multiplied by about
3 two to be equivalent and comparable to ours. And I say that
4 because that's why I found their work corroborative to ours,
5 when you multiply it by a factor of two.

6 So let's go through each table.

7 So the first table is ozone. And what I'd like to
8 highlight is in the left-hand column, where it says "Ozone
9 Metric," and you see it says, "Maximum 8-hour source impact
10 in ppb's," or parts per billion. And then you move over to
11 the right-hand side and it says, TVA's sources -- that's the
12 coal-fired power plants -- impact, or "contributions" is the
13 word they use, in North Carolina. And they estimate the
14 impact to be 7.4 parts per billion. Multiply that by two,
15 approximately, and you're getting about 14 or 15 parts per
16 billion impact. TVA says at least, even assuming their
17 assumptions in 2013, it's 7.4. 7.4, by itself, is a
18 substantial impact. That's not an acceptable level. It's
19 not a zero impact. We think it's more like 14 or 15 parts
20 per billion.

21 **MR. GOODSTEIN:** Your Honor, this is highlighted on
22 the screen, if it would be easier to follow us, since it's a
23 complicated table.

24 **THE COURT:** All right.
25

1 **BY MR. GOODSTEIN:**

2 **Q.** Please continue, Mr. Chinkin.

3 **A.** What I'd like to do now is move on to table 1B and
4 similarly look at the impacts in North Carolina that TVA has
5 estimated.

6 This table is looking at the metric PM2.5. PM2.5 has
7 two methods of concern. There's a daily average concern and
8 an annual average concern. So we have two rows that we have
9 to look at in this table.

10 Again, the first row is the daily maximum 24-hour
11 impact. And if we go to the right, TVA's estimate of their
12 own impact in 2013 is 2.6 micrograms per cubic meter. We
13 believe that number should be multiplied by two. We believe
14 it should be closer to 5 micrograms per cubic meter.

15 If you go to the second row, it says the "Maximum Annual
16 Source Impacts." They estimate 0.2 micrograms. If you
17 double that, you get approximately .4 micrograms, which is
18 what we estimated the impacts were.

19 So again TVA's own modeling is completely corroborative
20 of our modeling when properly interpreted. And even the
21 levels they show, the .2-micrograms, is not acceptable,
22 because if you are at 15.2, that .2 micrograms would bring
23 you into attainment of the Ambient Air Quality Standards.

24 The next table, table 1C, is TVA's estimates of their
25 impacts on visibility. So, likewise, the left-hand column,

1 they've actually shown the visibility impact at a number of
 2 Class 1 sites. In the far right-hand column, they give you
 3 what are the percentage improvements that you would expect if
 4 you were to remove the emissions. And you can see by their
 5 own estimate those improvements are quite substantial: 27
 6 percent at Great Smoky Mountains; 29 percent at Slickrock; 17
 7 percent at Shining Rock; 29 percent at Linville Gorge. And
 8 you can see all the way to Swan Quarter on the coastline is
 9 6 percent in eastern North Carolina.

10 And, again, by our estimate, these numbers should be
 11 doubled. But even by their own estimates, these are
 12 substantial impacts that are still remaining if they don't
 13 remove their emissions, and we think these impacts are half
 14 of what the true impacts are.

15 And the one last table, table 1D, is TVA's expert
 16 modelers estimate of their impacts on deposition. So there's
 17 two rows. The first row is the annual sulfate and the second
 18 row is annual nitrate deposition in kilograms per hector.
 19 And if you go to the far right, you'll see they estimate
 20 their sulfate contributions at 2.0 kilograms per hector.
 21 Again, we think that should be doubled, to about 4 kilograms
 22 per hector. And their estimate of nitrate deposition is
 23 smaller, at .3 kilograms per hector, and we think that should
 24 be double.

25 I need to add one comment that I've sort of overlooked

1 through all my testimony today, and that is, when we were
 2 doing these comparison plots for ozone, and now for
 3 deposition, we've been giving TVA credit -- "we" meaning
 4 Dr. Staudt's estimates assumed annual operation of their
 5 SCRs. If those are not operated annually, all of the things
 6 that are related to NOx that I presented, the ozone impacts,
 7 would be greater; therefore, the benefits that would be
 8 accrued would be greater. The deposition, nitrate deposition
 9 impacts would be greater, and the benefits would be greater
 10 as well.

11 **Q.** So, Mr. Chinkin, in conclusion, what are your -- what
 12 does this analysis show you about the impacts of TVA's excess
 13 emissions, their current impacts on air quality in North
 14 Carolina and the region?

15 **A.** The totality of all the information I've presented, the
 16 work of the TVA modelers, our modeling at STI, SAMI, EPA's
 17 CAIR modeling, the National Park Service's own reports, all
 18 say the same thing: The impacts from TVA's power plants are
 19 large and they would accrue great benefits if these emissions
 20 were reduced.

21 **Q.** And overall, what is your conclusion about the benefits
 22 to air quality that would result from the additional controls
 23 on these plants sought by North Carolina?

24 **A.** The benefits for both NOx and SO2 controls that we're
 25 talking about in this case would be huge. I think the best

1 way to say it is, removing these NOx and SO2 emissions from
2 TVA's power plants is equivalent to removing 3.7 million
3 heavy-duty diesel trucks off the road. It's equivalent to
4 removing 12.8 million passenger vehicles off the road every
5 day.

6 **MR. GOODSTEIN:** If I could have a moment, Your
7 Honor, to consult with counsel.

8 (A discussion was held off the record by
9 plaintiff's counsel.)

10 **MR. GOODSTEIN:** Your Honor, we offer Exhibits 182
11 and 183 for identification into evidence. And I have one
12 more question of Mr. Chinkin.

13 **BY MR. GOODSTEIN:**

14 **Q.** In your supplemental report, Mr. Chinkin, you had the
15 conclusion that each of the power plants in the TVA system
16 are impacting air quality in North Carolina and the region.
17 Can you please summarize the basis for that conclusion and
18 how you arrived at that conclusion?

19 **A.** Okay. As I've demonstrated with example figures today,
20 we examined the daily impact plots for the entire year that
21 we modeled, and you can see on daily impact plots individual
22 power plant impacts in North Carolina, Tennessee, Kentucky,
23 Alabama and throughout the region. So when you average that
24 up over the course of a year, like for the annual average PM,
25 it's hard to see those individual plumes, but our analysis

1 showed that every individual power plant contributed to
2 impacts in North Carolina and throughout the region.

3 Q. Each power plant in the TVA system?

4 A. That is correct, each individual power plant.

5 MR. GOODSTEIN: We have no further questions of
6 this witness at this time, Your Honor.

7 THE COURT: All right. Mr. Fine?

8 MR. FINE: Thank you, Your Honor.

9 THE COURT: You may proceed.

10 CROSS EXAMINATION

11 BY MR. FINE:

12 Q. Mr. Chinkin, I'll try and move this along as quickly as
13 I can so the corporate tie can go back into storage.

14 A few details to clean up first before we get to some
15 more substantive points.

16 If you would do me the kindness, sir, in using the book
17 that your counsel has so efficiently provided to us all.
18 Plaintiff's Exhibit 176. I believe that's the report from
19 the National Park Service.

20 A. I have it.

21 Q. And, sir, I believe I'm correct in stating this. On the
22 second page into this exhibit, this report reflects that it
23 was issued in September of 2002?

24 A. That's correct.

25 Q. That's about, certainly more than, what, five and a half

1 years ago?

2 A. Give or take, yes.

3 Q. All right, sir.

4 And turning, if you would, please, to Plaintiff's
5 Exhibit 174, which I think is the Great Smoky Mountains
6 National Park Management Folio No. 2, I think there's a small
7 legend at the top of the document --

8 A. That's correct.

9 Q. -- on air quality.

10 And just so that it's clear on the record, as I read the
11 revision date on this, this was revised in March of 2006.

12 A. That's what mine says.

13 Q. All right, sir. Just bear with me. I apologize for
14 doing this, but if you could turn back to Plaintiff's 176
15 very briefly, and if I could ask you to direct your attention
16 to page 36 .

17 A. Okay.

18 Q. And if I could direct your attention to the -- toward
19 the bottom of the last column of the print section of this
20 page, the sentence that begins with "primarily." You see
21 that?

22 A. Yes, I do.

23 Q. And I'm correct in stating that the Park Service reports
24 it as primarily the burning of high sulfur coal in eastern
25 power plants and industrial facilities that produces sulfur

1 dioxide emissions that are transformed in the atmosphere into
2 fine, airborne sulfate particles. Is that correct?

3 A. That's what it says.

4 Q. With a reference to high sulfur coal.

5 A. That is the word they use.

6 Q. And turning just once again briefly to Plaintiff's
7 Exhibit 174. In your direct testimony, you were already kind
8 enough to read one of these sections about TVA into the
9 record. If I could direct your attention to the preceding
10 page, what I think that one of my colleagues have called the
11 penultimate page and what I'll call the next to the last.

12 A. Okay.

13 Q. And if I could direct your attention to the column on
14 the right that says, "Finding Solutions."

15 A. I see that.

16 Q. And I think there is a bullet point that begins,
17 "Environmental Protection Agency, EPA Programs Took Effect."
18 Do you see that?

19 A. Yes, I do.

20 Q. And it goes on to read: "took effect in 2004, that
21 reduced nitrogen oxides in most eastern states by 30 percent.
22 The Tennessee Valley Authority, TVA, installed nitrogen oxide
23 emission controls on the two power plants closest to the
24 park, which reduced emissions by 71 percent. This has led to
25 less ozone pollution and nitrogen deposition in the park."

1 You see that, sir?

2 **A.** Yes, I do.

3 **Q.** And this, again, is a document that you relied on to
4 help form your expert opinion?

5 **A.** That's correct. Reducing the NOx reduces ozone. That's
6 a good thing.

7 **Q.** I apologize for jumping around some in the book, but if
8 you'd indulge me, sir, by just turning very briefly to
9 Plaintiff's Exhibit No. 11.

10 **A.** Okay.

11 **Q.** And this is -- if I understand it, this is an extract
12 from the technical support document for the final Clean Air
13 Interstate Rule that has been previously introduced into
14 evidence?

15 **A.** That's correct.

16 **Q.** Sir, I'm correct, am I not, that the modeling that's
17 reflected in this technical support document was 2001
18 modeling?

19 **A.** There has been a series of questions that are confusing
20 to, I think, the attorneys who don't do this kind of work
21 about what a data report is versus the modeling that report
22 reflects. These results reflect estimated impacts in 2010,
23 regardless of the date that says March 2005 on the cover
24 sheet or the base year from which the 2010 emissions were
25 forecasted from, 2000.

1 Q. Let me be a little clearer in my question, Mr. Chinkin,
2 and maybe we'll get to where we need to go.

3 The base year that was used for the 2010 projections was
4 2001?

5 A. I don't know that precisely, but that is probably
6 correct.

7 Q. All right, sir. And I appreciate your indulgence in
8 getting me on the right track.

9 Now, you have presented several exhibits and testified
10 about several exhibits that I actually think that maybe
11 Mr. Wheeler may have put into evidence yesterday. Before we
12 get into looking at those, let me just draw your attention,
13 if I can, to some of your comments concerning Dr. Tesche and
14 Mr. Mueller's report. And I'd ask you, first of all, if you
15 could turn to Plaintiff's Exhibit 183.

16 A. Okay.

17 Q. Before I ask some specific questions concerning this
18 report, I believe you will agree with me that the modeling
19 done by both sides in this case produced, in my words anyway,
20 a torrent of information?

21 A. Yes, I would agree to that.

22 Q. I believe you, yourself, testified that if you printed
23 out all of your analysis, all of your tile plots for your
24 daily runs that you'd have a report that would be at least
25 10,000 pages long?

1 A. I believe those are my words, yeah.

2 Q. And I would think that based on your review of the TVA
3 modeling in this case, that TVA produced data at least as
4 voluminous as what STI produced.

5 A. I would assume so.

6 Q. All right, sir. So what we're talking about has been,
7 again, in my words, a torrent of information presented for
8 folks to try and analyze; is that correct?

9 A. That's correct.

10 Q. And in some instances -- well, actually, in all
11 instances, under some time constraints, correct?

12 A. That's very much true.

13 Q. And I think we've already alluded to some of the time
14 constraints you and Mr. Wheeler were under in producing the
15 June 2007 second supplemental report.

16 A. That's correct.

17 Q. Taking your attention back to Plaintiff's Exhibit 183,
18 you, of course, have reviewed Dr. Tesche and Mr. Mueller's
19 supplemental report, have you not?

20 A. Yes, I have.

21 Q. And would it not be correct -- I think I am correct in
22 stating that regarding the discrepancies that you have
23 identified for Colbert, Gallatin, Johnsonville and Widows
24 Creek, that Dr. Tesche and Mr. Mueller's supplemental report
25 indicated that there was an error in reporting those numbers

1 and not in the numbers that were used by Dr. Tesche and
2 Mr. Mueller in the actual model.

3 A. I believe that's correct.

4 Q. And, in fact, the supplemental report included a
5 corrected figure showing the actual numbers that were used in
6 the modeling.

7 A. I believe that's true.

8 Q. And that would be the same story for Plaintiff's Exhibit
9 182 on NOx emissions, correct?

10 A. That is correct.

11 Q. All right. So putting what I'm going to call the
12 reporting error that's reflected in Plaintiff's Exhibit 183
13 and 182 to one side, let's talk a few moments, if we can,
14 about the errors that are -- or the omissions that are
15 indicated for the Allen plant and the Shawnee plant. And we
16 can start with the sulfur dioxide emissions on Plaintiff's
17 Exhibit 183 to begin with, if you wouldn't mind, sir.

18 A. That's fine.

19 Q. Sir, you would agree with me that what we're looking at
20 is that two of the three units at Allen and one of the ten
21 units at Shawnee, that emissions from those three units were
22 omitted from the inventory used by Dr. Tesche and Mr. Mueller
23 in their initial report.

24 A. I believe that's correct.

25 Q. And that the unit that was omitted at Shawnee is unit

1 10, which is the atmospheric fluidized bed combustion unit;
2 is that correct?

3 A. I don't know that personally, but that could be the
4 right description.

5 Q. An AFBC unit would be -- because of the nature of its
6 technology, would be a low emitter of, in this instance,
7 sulfur dioxide.

8 A. That is consistent with this being a small discrepancy.

9 Q. All right, sir. And just so that we don't -- well,
10 we'll get into that in a moment.

11 But again, in looking at the sulfur dioxide emission
12 error for Shawnee and Allen, you would agree with me, would
13 you not, that, again, the impact of this emission was covered
14 by Dr. Tesche and Mr. Mueller in their supplemental report?

15 A. They made an approximation to estimate how much
16 different the grams would be, that's correct.

17 Q. And approximately, the estimation they came up with was,
18 in terms an impact of PM2.5 over North Carolina, was 0.01
19 micrograms per cubic meter of PM2.5?

20 A. I believe that was what their estimate was.

21 Q. All right, sir.

22 And turning very briefly to Plaintiff's Exhibit 182,
23 which is the NOx emissions on the bar chart that you have
24 introduced into evidence here today, again, we're looking at
25 the -- in terms of the Shawnee and the Allen emissions, we're

1 looking at one unit at Shawnee and two units at Allen,
2 correct?

3 A. That's my understanding.

4 Q. And again, the unit at Shawnee, if, in fact, as I say,
5 is the AFBC unit, that, by the nature of its technology, is a
6 low NOx emitter.

7 A. I'll agree with you, if that's the case.

8 Q. Okay. Just accept for the moment that I'm correct on
9 that and we'll shore that up later. If you'll just follow me
10 along on that, I'd appreciate it.

11 A. Okay.

12 Q. And again, Dr. Tesche and Mr. Mueller, in their
13 supplemental report, dealt with the impact of the omission of
14 these three units, correct?

15 A. Right. They made an estimate of the discrepancies of
16 what the impact would be.

17 Q. In terms of ozone. And that their estimate was that
18 adding back in the omitted units would contribute no more
19 than 1.2 parts per billion to maximum 8-hour ozone in North
20 Carolina and 1.5 parts per billion to maximum 1-hour ozone in
21 North Carolina, and the high end of the estimate.

22 A. That's what I recall.

23 Q. And just so that it's clear, I believe -- I think that
24 the map that I believe is Plaintiff's Exhibit 58 -- and I
25 apologize if I have the number wrong. But anyway, the map

1 that North Carolina has produced of the TVA service area with
2 the TVA plants that shows the -- anyway, whatever the number
3 is, and I'm sure we can get that corrected. It's the map
4 that's in front of you there in the jury box with the TVA
5 plant locations located on an outline of the TVA service
6 area. You see that, do you not?

7 **A.** Yes, I do.

8 **Q.** And I believe, sir, that based on your prior testimony,
9 you know that the Allen fossil plant, as indicated on that
10 map, is located near Memphis, Tennessee?

11 **A.** That's correct.

12 **Q.** About the farthest west you can go in the TVA service
13 area.

14 **A.** That's correct.

15 **Q.** And the Shawnee plant is located in southwestern
16 Kentucky, correct?

17 **A.** That's correct.

18 **Q.** And those two plants are probably about -- in fact, are
19 the furthest away from North Carolina than any of TVA's
20 plants?

21 **A.** That's correct.

22 **MR. FINE:** A moment, please, Your Honor.

23 **(Pause.)**

24 **THE COURT:** All right.

25

1 **BY MR. FINE:**

2 **Q.** Let me draw your attention briefly to a document that's
3 been marked, I believe, for identification. I don't think it
4 was offered into evidence. Plaintiff's Exhibit 191. Those
5 were the charts or the tables -- the tables, I should say,
6 that you were looking at from Dr. Tesche and Mr. Mueller's
7 supplemental report.

8 **A.** Okay. I have that.

9 **Q.** And just, first of all, so that we're clear, you noted
10 that, particularly, with some of these numbers -- I'm going
11 to focus primarily on the PM2.5 number initially and perhaps
12 also the visibility impacts and the acid deposition
13 impacts -- that you were saying that, in your estimation, the
14 numbers reported by Dr. Tesche and Mr. Mueller in all
15 likelihood should be doubled?

16 **A.** I want to make sure that the characterization is
17 correct.

18 **Q.** By all means, sir.

19 **A.** The reason they should be doubled is because there's a
20 difference in what they modeled versus the CSA equivalent.
21 That's why they should be doubled.

22 **Q.** And one of the things that they modeled were additional
23 scrubbers at the Bull Run plant and the Kingston plant,
24 correct?

25 **A.** That's my understanding.

1 Q. And you understand, I believe, from the testimony you've
2 heard so far in the trial that the Bull Run scrubber will, in
3 fact, go into operation later this year and that the two
4 scrubbers at Kingston are some at least 50 percent completed,
5 correct?

6 A. That's my understanding.

7 Q. All right, sir. Before we move away from Plaintiff's
8 Exhibit 191, you will agree with me, would you not, that some
9 of the other numbers that are being recorded here by
10 Dr. Tesche and Mr. Mueller report the contributions from
11 North Carolina's power plants with the controls from the
12 Clean Smokestacks Act?

13 A. That is what they are purported to do. I did not
14 analyze for North Carolina power plant impacts, specifically,
15 so I can't comment on those.

16 Q. So you didn't analyze North Carolina's power plant
17 impacts as far as your modeling or your analysis?

18 A. Not separately. All their emissions were included in
19 the modeling, but we did not identify them separately as they
20 have done in this table.

21 Q. Well, just so that we're clear, I guess, in terms of
22 your frame of reference, if nothing else, I believe you
23 testified, for instance, with the ozone impacts, that you
24 thought that even taking TVA's number of 7.4 parts per
25 billion was a substantial impact. That's correct, is it not?

1 A. That's a correct statement.

2 Q. Well, then the 14.2 parts per billion impact from the
3 North Carolina power plants would be even more substantial?

4 A. That's just math. I would agree.

5 Q. And the same for the other three tables that are
6 reflected in Plaintiff's Exhibit 191. I believe your
7 testimony was the TVA contribution was substantial, and, if
8 that's the case, the numbers indicated for North Carolina's
9 power plant impacts would be even more substantial.

10 A. If those numbers represent North Carolina's power
11 plants. I can't attest to that.

12 Q. All right, sir.

13 A. And if I might add, even if that is true, that those are
14 North Carolina's power plant impacts, that doesn't lessen the
15 gravity of TVA's impacts in North Carolina.

16 Q. Thank you for that observation, Mr. Chinkin.

17 Mr. Chinkin, I'd like to ask you to please direct your
18 attention to Plaintiff's Exhibit 149.

19 A. Okay.

20 Q. If I have the correct document in front of me, this is
21 one of your blowups of the modeling results that you obtained
22 for annual PM2.5 concentrations?

23 A. That's correct.

24 Q. All right, sir. And just so that it's clear in the
25 record, what you're showing here is a zero out for the entire

1 TVA system; is that correct?

2 A. That is not correct.

3 Q. It is not correct?

4 A. This is an implementation of the Clean Smokestacks Act
5 equivalent rates in that future 2013 modeling case. It's not
6 a complete zero out.

7 Q. But this does reflect your modeling for all of -- the
8 entire TVA fleet?

9 A. Oh, that is correct.

10 Q. I apologize, Mr. Chinkin. I was leading myself down the
11 primrose path, and I appreciate your redirecting my feet.

12 But this does not reflect modeling of the impacts from
13 any particular individual TVA plant?

14 A. Each plant was -- emissions were adjusted and they were
15 all run at the same time so you see the impacts of all of
16 them together, but each plant was treated separately.

17 Q. But the modeling result that you're presenting here
18 reflects the entire TVA fleet.

19 A. That is correct.

20 Q. It does not reflect a particular impact from a
21 particular plant, the modeling result you're presenting here?

22 A. It represents the combined impact of all of the plants.

23 MR. FINE: A moment, if you please, Your Honor.

24 THE COURT: All right.

25 MR. FINE: Mr. Chinkin, thank you for your

1 patience. I have no further questions.

2 **MR. GOODSTEIN:** No redirect, Your Honor.

3 **THE COURT:** All right. Thank you very much. That
4 will complete your testimony, and you are excused.

5 **(Witness stepped down.)**

6 **MR. GOODSTEIN:** If we could have a moment, Your
7 Honor, to change seats. Mr. Gulick is going to present the
8 next witness.

9 **THE COURT:** All right.

10 **MR. GULICK:** Your Honor, we call Mr. Will Harlan to
11 the stand.

12 **THE COURT:** All right, sir.

13 **WILLIAM STEVEN HARLAN, JR.**

14 **being duly sworn, was examined and testified as follows:**

15 **DIRECT EXAMINATION**

16 **BY MR. GULICK:**

17 **Q.** Mr. Harlan, would you please state your name for the
18 record?

19 **A.** William Steven Harlan, Jr.

20 **Q.** Where do you live, Mr. Harlan?

21 **A.** I live in Barnardsville, North Carolina, just outside of
22 Asheville.

23 **Q.** Are you married?

24 **A.** I am.

25 **Q.** What is your wife's name?

1 A. Emily Diznoff.

2 Q. Is she here in the courtroom?

3 A. She is.

4 Q. What is your educational background?

5 A. I have my master's degree in English from Emory
6 University.

7 Q. Where do you work?

8 A. I'm the executive editor of "Blue Ridge Outdoors
9 Magazine" based here in Asheville, North Carolina, and also
10 in Charlottesville, Virginia.

11 Q. What is "Blue Ridge Outdoors Magazine"?

12 A. It's a magazine that reaches readers from Georgia to
13 Maryland, about a quarter million in distribution, and it's a
14 magazine that talks about hiking and recreational
15 opportunities in the Southeast.

16 Q. How long have you worked at "Blue Ridge Outdoors
17 Magazine"?

18 A. Seven years.

19 Q. What is your responsibility there?

20 A. My job is to coordinate all of the editorial materials,
21 from photography to stories. I edit every story that appears
22 in the magazine, write some of the stories; and am
23 responsible for all of the editorial content.

24 Q. How long have you lived in Asheville?

25 A. About seven years, also.

1 Q. Where did you move to Asheville from?

2 A. Moved to Asheville from Atlanta, Georgia.

3 Q. What were you doing in Atlanta before you came here?

4 A. Before I came to Asheville, I was a high school English
5 teacher and a college English teacher and was also a writer
6 for "Creative Loafing Magazine." It's the second largest
7 magazine in Atlanta, reaches about a half a million readers
8 in Atlanta.

9 Q. Now, what is your wife's profession?

10 A. My wife is a family practice physician.

11 Q. Why did you move to Asheville?

12 A. There were a couple of reasons. One, my wife was
13 looking for a residency program. She went to school at Emory
14 University. She did her medical -- she did her medical
15 school at Emory, and that's where we met, and we were looking
16 for places for her to do her residency.

17 We looked all over the country, and she really wanted my
18 input, and I wanted to go somewhere where I could run. I was
19 a competitive runner in Atlanta, won dozens of races, and
20 wanted to be in a place where I could train and run really
21 well, and the mountains of western North Carolina seemed like
22 an ideal place. Especially because Atlanta is so polluted
23 with air, the air pollution is so bad down there, I thought
24 getting away to the, supposedly, clean mountains of western
25 North Carolina would be a relief. And it turned out not to

1 be the case. When we got here, we learned that, in fact, the
2 pollution here in the mountains is worse than Atlanta on many
3 summer days.

4 Q. How long have you been a competitive runner?

5 A. Been a competitive runner since high school, but,
6 especially in the past 12 to 15 years, I've been a
7 competitive distance runner, running everything from
8 marathons to 100-mile races, and I'm quite -- I was quite
9 competitive regionally, and even nationally.

10 Q. What type of race -- you indicated the types of races.
11 Are there races around here that you've competed in?

12 A. Yeah. I'm the five-time champion and course record
13 holder of the Mount Mitchell 40-mile challenge, which is a
14 race that starts in Black Mountain, North Carolina, and runs
15 to the top of the tallest mountain east of the Rockies, Mount
16 Mitchell, and then back down again. I won that five years
17 out of the past six, and also won at least a dozen other
18 ultra-marathons.

19 Q. So you consider -- are you in good condition for
20 running?

21 A. I think so.

22 Q. I'd like to draw your attention to the date of July 19,
23 2003 and ask if on July 19 you scheduled a run for yourself.

24 A. I had. On that weekend, I was planning to run across
25 the Great Smoky Mountains National Park along the Appalachian

1 Trail the distance of 72 miles, from the east side of the
2 park to the west side of the park.

3 Q. How long did you anticipate, based on your experience,
4 it would take you to run that distance?

5 A. I anticipated between 12 and 14 hours, perhaps longer
6 with some rest stops. But based on my current training and
7 my performance in previous training runs and races, I thought
8 that was a realistic expectation.

9 Q. I'd like to show you -- it will appearing on your
10 screen, Mr. Harlan -- Plaintiff's Exhibit marked for
11 identification as 221 and ask you if you can identify what
12 this document is.

13 A. Yeah. This is my training log that I've kept since high
14 school. This particular one is from January to July of 2003.

15 Q. And what's written there, is that -- whose handwriting
16 is that?

17 A. That's my handwriting.

18 Q. I'd like to go to the next page of this document and --
19 Could you blow that up a little bit, Gary?

20 I just want to look at a couple of the dates so you can
21 tell us, Mr. Harlan -- if you can point to a particular date
22 and just tell us what the notations there mean.

23 A. Yeah. My training log isn't very fancy. A lot of folks
24 have really nice training logs, but this is just a basic
25 calendar I just print off and just record the amount of

1 mileage and any other workouts I do on a particular day.

2 And so the numbers on each day represent the amount of
3 mileage I've run that day, or if there's other cross-training
4 activities, like I played soccer on a weekly basis, if I
5 biked into work or did a bike workout, that would be
6 reflected; and then the PS stands for pushups and situps and
7 core strengthening, which is something I do on an
8 every-other-day basis, which is really important to actually
9 to help my running, as well as just to stay fit on an overall
10 level.

11 **Q.** Thank you.

12 You told us what the route was for your run. Did you
13 sort of have a name for this run?

14 **A.** Yeah. It was the Great Smokies End-to-End Run, and I
15 was running for -- ironically, running for clean air. I was
16 looking to try to -- trying to help a local grassroots
17 environmental organization called the Canary Coalition, and I
18 thought what better way than to run across the most polluted
19 park in the country to kind of highlight the woes that this
20 park and this region face.

21 **Q.** I want to show you what has been marked as Plaintiff's
22 Exhibit 222 and ask if you recognize that document.

23 **A.** This is my very rough sketch map that I carried with me
24 during the run that basically marks all of the various
25 springs and water sources along the trail, and also indicated

1 where I'd be meeting my wife and various others along the
2 trail, and then, based on other maps, the approximate mileage
3 at trail junctions and shelters so I'd have an idea where I
4 was along the trail.

5 Q. It's very faint, but you probably -- did you create this
6 document yourself?

7 A. I did, and I based it off of topographic maps and guides
8 to the Great Smoky Mountains National Park.

9 Q. So this is the map you actually used on your run?

10 A. This is the map I actually used during the run.

11 Q. And I was wondering if you could draw your -- could you
12 show us on the map where you started and what -- and tell us
13 what that is.

14 A. I started on the east side of Great Smoky Mountains
15 National Park at Davenport Gap, which is just a mile or two
16 from Interstate 40. So my wife, Emily Diznoff, drove me that
17 morning to the trailhead, the Appalachian trailhead there at
18 Davenport Gap, at 6:00 a.m. It was dark when I started, and
19 that's where the run began. And, again, it was along the
20 Appalachian Trail, right at the edge of Great Smoky Mountains
21 National Park.

22 Q. How were you planning to handle food and water and
23 resupply yourself?

24 A. I carried a small fanny pack that was loaded with energy
25 bars and gels, as well as bottles of water and electrolyte

1 fluids and tablets. So I was well supplied myself, but we
2 also scheduled meeting places along the Appalachian Trail
3 where my wife would have paved road access where she could
4 meet me, or, in one case, where she would have had to hike in
5 from a paved road about five miles to meet me.

6 Q. When you planned this run, was there any question in
7 your mind about your ability to complete it?

8 A. No. I had run hundred-mile races, longer distances than
9 this, and running them at a competitive level. This was more
10 of a recreational fun run, so I had no doubts about my
11 physical ability to finish.

12 Q. If you would, could you describe for us the course of
13 your run until your first stop?

14 A. Sure. So from Davenport Gap, the trail climbs for about
15 six miles, it's a gentle but steady climb, and continues to
16 climb up to Mount Cammerer, basically, and then up to Mount
17 Guyot.

18 Q. Will you point out where that is on this map?

19 A. Basically up to -- there's Guyot. And that stretch is
20 mostly uphill. There's a few saddles and gaps and some
21 waters -- some springs along the way. But I was taking my
22 time. It was early in the morning, and I was just enjoying
23 the experience. There was some cloud cover. The sun hadn't
24 burned the morning mist or fog off, so it was still
25 relatively overcast at that point, and I was feeling great

1 and moving along the trail.

2 The only thing that was slowing me down was the trail
3 was a bit overgrown. Blackberry brambles and just grasses
4 along the trail had kind of slowed my progress, making it
5 hard to actually see the trail in a few places. Other than
6 that, it was a great morning.

7 Q. Now, along this portion, or at some portion of the run,
8 did you run along with anyone else for a while?

9 A. Yes. Well, this portion, I was completely on my own,
10 and then, as I descended Mount Guyot and Mount Cammerer, and
11 going towards Newfound Gap, which is at mile marker 31, which
12 is roughly the halfway point here, Newfound Gap, about eight
13 miles from there, a running friend of mine, Anne Riddle
14 Lundblad ran in from Newfound Gap and met me at approximately
15 mile marker -- or approximately mile 22-ish, and ran with me
16 back out to Newfound Gap. So that was nice to have a
17 companion, after running by myself for 20 something miles
18 through the wilderness of the Great Smoky Mountains National
19 Park.

20 Q. And do you recall, about what time did you arrive at
21 Newfound Gap?

22 A. I arrived at about 1 o'clock. It was definitely getting
23 warmer at that point. The sun was shining full, and I was
24 definitely feeling the heat at that point, and so it was a
25 welcome -- a welcome arrival at Newfound Gap. That's where

1 my wife was, that's where the executive director of the
2 Canary Coalition had set up a table, and there were lots of
3 folks milling around, supporting the run and offering me
4 encouragement, and just a festive, supportive atmosphere
5 there and a good place to recharge.

6 Q. About how far along had you run by that time?

7 A. That's about 31 and a half miles from Davenport Gap.

8 Q. And how long did you stay at Newfound Gap?

9 A. About 20 to 30 minutes. I used the restroom, I ate some
10 solid foods, talked with various folks, and met a couple of
11 other runners who were going to join me for the next
12 eight-and-a-half mile section up to Clingman's Dome, which
13 I've marked there, which is the highest point along the
14 Appalachian Trail, at 6,640 feet. And I knew this next
15 eight-and-a-half-mile stretch was going to be a tough one.
16 It was the hottest part of the day and highest elevation and
17 I'd just run 31 miles, so I knew this was going to be a more
18 difficult stretch.

19 Q. About how far was it from Newfound Gap to the top of
20 Clingman's Dome?

21 A. About eight and a half miles.

22 Q. Describe for us, if you would, the events that occurred
23 from Newfound Gap along your run up to Clingman's Dome.

24 A. I started out not feeling so great. I started feeling
25 light-headed and I felt some tightness in my chest and I was

1 unable to inhale deeply. I was unable to get a deep
2 inhalation. And I didn't really know exactly what was going
3 on, but I figured maybe it was the altitude. I really didn't
4 know, and so I just chocked it up to altitude and the heat
5 and continued pushing up to Clingman's Dome. But something
6 was definitely not right with my breathing, but at the time I
7 didn't know exactly what was going on, other than it
8 definitely didn't feel right and I couldn't get a deep
9 inhalation.

10 Q. About what time did you arrive at Clingman's Dome, at
11 the top?

12 A. Around 3 o'clock. Between 3 o'clock and 3:30. And my
13 wife was there to meet me at the top of Clingman's Dome, as
14 well, to resupply and rehydrate.

15 Q. And how were you feeling at that time?

16 A. I was feeling horrible, actually. But I was, again,
17 hoping that it would dissipate. This was the highest point
18 along the Appalachian Trail. It was incredibly hot out. And
19 I thought those factors were, perhaps, contributing to it,
20 and that the next five miles or so were downhill and that
21 maybe it would resolve itself as I dropped in elevation and
22 had, you know, a downhill to recover on. And so I decided to
23 push on from there. And I'd also resupplied at the top of
24 Clingman's Dome with my wife, so I felt pretty good.

25 Q. How long were you there?

1 A. I was there a little longer than anticipated because I
2 felt like something was wrong, and my wife encouraged me to
3 take my time and make sure I was feeling okay before I
4 pressed on because it was another 18 miles or so before I'd
5 see her again. But I was not feeling good, and so I took a
6 good 20 to 30 minutes up there to try to refuel and recharge.

7 Q. The difficulty breathing that you described, had you
8 experienced that before?

9 A. I had never experienced it before, and that's what was
10 so concerning but also so puzzling, and so I didn't know what
11 to make of it, and that's why I thought it would resolve
12 itself, because I had never before experienced it. I'm a
13 runner in perfect health who never had any kind of
14 respiratory problems, never been diagnosed with any kind of
15 respiratory ailments, so I assumed it was just something
16 acute that would go away.

17 Q. What was your next, sort of, meeting place?

18 A. So our next meeting place was --

19 Q. Or planned meeting place.

20 A. Planned meeting place was Russell Field, which is at
21 about mile 58, roughly, on the trail, about 18 miles between
22 Clingman's Dome and that meeting place. And my wife would be
23 driving around on paved roads through Cades Cove and then
24 hiking in about five miles along a trail to meet me at that
25 shelter at Russell Field. And I'd be running, obviously,

1 along the Appalachian Trail and meeting her there.

2 Q. Would you describe the course of events that occurred
3 along the next portion of the trail when you were running
4 toward -- in the direction of Russell Field on the trail?

5 A. Sure. So things did not resolve themselves; they only
6 got worse. Even though it was five easy miles of downhill,
7 my breathing was getting more and more labored. I just
8 simply could not get a deep breath in, and so I was having to
9 stop more frequently and walk to slow my breathing down
10 because I wasn't getting enough oxygen. And so I stopped at
11 a few shelters in between Russell Field and Clingman's Dome
12 to rehydrate, and at one point I talked with some folks,
13 asking them about if they knew anything about respiratory
14 ailments because something was clearly not right with me and
15 I was eager for help.

16 But there's no -- no road access anywhere between
17 Clingman's Dome and Russell Field, so there was no way to
18 hike out or to turn around at this point. My best hope was
19 to get to Russell Field at mile 58. So I continued pressing
20 on, hoping to get there before dusk at this point, because I
21 had slowed my pace tremendously.

22 My breathing continued to get worse, to the point where
23 I could only walk 100 yards and then would have to stop and
24 sit down on the trail to try to catch my breath.

25 Q. What did it feel like to try to breathe in deeply?

1 A. It hurt. It was a -- it was like a pain in my throat
2 and in my chest, and I could not get the breath in. And I
3 didn't know anything about respiratory ailments. I didn't
4 know what asthma was, and so I thought this was something
5 like asthma because that's just the word you hear when you
6 have breathing problems. So I asked one person who was at a
7 shelter about asthma, and he said, well, maybe you should try
8 breathing through a wet cloth; that might help lubricate your
9 lungs. So I tried breathing through my wet shirt that I was
10 wearing. And that may have helped a little bit, but my
11 problems continued to get worse as I moved further along the
12 trail, and it continued to get dark.

13 Q. What time had you planned to meet your wife at Russell
14 Field?

15 A. I planned to meet her around 6:00 p.m. That was a very
16 liberal estimate, considering my fitness level and my pace.
17 But, as it turned out, this stretch really slowed me down.
18 It was dark, and I still was several miles from the Russell
19 field shelter and was doing everything I could to press on,
20 because at this point I was mostly worried, actually, about
21 my wife. She was hiking in five miles to this shelter,
22 expecting to meet me, and I wasn't going to make it, and that
23 worried me immensely because she was by herself, a female
24 hiking in the Great Smoky Mountains by herself at night, in
25 bear country, and expecting her husband to arrive. And with

1 me not arriving, I knew that would worry her greatly, so
2 pressed on primarily just to try to get to her.

3 Q. How far did you get that night?

4 A. I got within three or four miles, but it was so dark and
5 I was stumbling over rocks and roots and stepping on small
6 animals, and then, at one point, I jumped a bear. There was
7 a bear along the trail that bolted right out and ran. And
8 when that happened I realized that this was -- this was
9 getting dangerous.

10 And there was no moon out that night, so I couldn't see
11 anything other than outlines of shadows of things. Like the
12 bear, I could see the outline of it running away. I could
13 see the outline of brush and trees. But I couldn't even read
14 the map that I had with me. And so, fortunately, I had a
15 watch that had a little glow light on it that enabled me to
16 read the map and direct me to a nearby shelter about four
17 miles from where I was supposed to meet Emily, the Spence
18 Field shelter, which is somewhere in here. And that's where
19 I ended up spending the night, because I had no choice; I
20 literally couldn't make it any further. My breathing was so
21 labored and so painful and it was so dark that I was left
22 with no other choice.

23 Q. How long did you stay there at that shelter?

24 A. I stayed there -- I got there probably between 10:00 and
25 11:00 that night and stayed there until the first hint of

1 daylight showed up in the sky. Didn't really sleep, but I
2 just sat myself down and laid down. There were a couple
3 other gentlemen in the shelter that night. One of them
4 loaned me a blanket. I was covered in sweat and bugs, and I
5 just wrapped myself in this blanket to try to calm my
6 breathing down. And it seemed to work. But I was really,
7 really concerned about my wife and wanted to get to the next
8 shelter so that I could reassure her and make sure she was
9 okay. And so as soon as I saw the hints of light in the sky,
10 I bolted from the shelter to try to get to Russell Field.

11 Q. What happened? By the time it was dawn, had your
12 ability to breathe changed any?

13 A. It had, which was -- which was a blessing, because I was
14 quite concerned about my ability just to make it to the next
15 shelter to see my wife. But when I started running, I felt
16 great. My breathing problems had dissipated. It was early
17 in the morning. It was -- you know, it was a cool -- it was
18 cool again. And so I was able to run without any problems to
19 Russell Field.

20 Q. When you got to Russell Field, was your wife there?

21 A. She was not. She had left and hiked back down the trail
22 and was driving around to meet me at the end of the run. I
23 was informed by the people at that shelter what she had done.

24 Q. What was it like when you met your wife?

25 A. It was -- it was a pretty powerful moment for us. She

1 told me about what happened to her that night, with bears
2 coming to the shelter and --

3 Q. She'll tell her story.

4 A. She was visibly upset by what had happened to her and to
5 me, and it was a very joyous and emotional reunion at the
6 end.

7 Q. Did you have occasion to, after this was over, to write
8 a journal of this?

9 A. Yeah. I wanted to remember everything that had happened
10 here, and because family and friends were interested in what
11 had happened as well, I just, the next day, I put everything
12 down on paper in journal form.

13 Q. And I'd like to show you what's been marked as
14 Plaintiff's Exhibit 223 and ask you if you can identify what
15 that is.

16 A. Yeah. This is my journal entry, basically. I just
17 basically wrote down a, roughly, hour-by-hour account of my
18 experiences on the run. This was written a day or two after
19 the actual run, so it was just my initial observations and
20 what I suspected had happened to me a day or two after the
21 event.

22 Q. Now, subsequent to the -- did you seek to find out -- or
23 did you seek any medical advice that week about --

24 A. Yes.

25 Q. -- what had happened to you?

1 A. Yes. My wife is a doctor, and she obviously was very
2 concerned about what had happened, and I was incredibly
3 concerned because this was my running career. I was very
4 concerned that I couldn't breathe for the first time in my
5 life, and running was such an integral part, not of my career
6 necessarily, but of just who I was. I had run every day of
7 my life since I was 5 years old, roughly, and I was worried
8 that I wasn't going to be able to run again because of these
9 breathing problems, so I sought medical advice right away. I
10 went to first a family practice doctor where my wife was
11 training, at MAHEC, and they were very concerned and they
12 said it sounded like I had experienced something like asthma,
13 asthma-like symptoms, and so initially that's what we thought
14 it was; we thought it was something like asthma.

15 Q. Is that why you referred to it as asthma in your
16 journal?

17 A. Yeah. I mean, that was the only label I had ever heard
18 for breathing problems related to running, was asthma,
19 exercise-induced asthma or something like that.

20 Q. Had you ever been diagnosed with asthma before that?

21 A. I never did get diagnosed with asthma.

22 Q. Did you subsequently have occasion to be examined again?

23 A. I did. So I sought every specialist I could find to try
24 to figure out what had gone wrong here because I was very
25 concerned, and so I went to an allergist to see if maybe I

1 had some kind of allergic reaction to something, but no,
2 there were no allergies.

3 I went to a respiratory specialist as well, Dr. Sue
4 Zeilender, to see if I, indeed, did have asthma, and it
5 turned out I did not. I had asthma-like symptoms, but the
6 testing revealed that it was not asthma that was specifically
7 causing this.

8 **Q.** Did you at some point undertake -- let me ask you this.
9 Subsequent to the -- are you familiar with the EPA website
10 called "AIRNow"?

11 **A.** Yes. I check that -- even before this run, I checked it
12 on a daily basis just to see what the pollution levels were
13 where I was running. It's a sad but unfortunate necessity
14 when you're running in the mountains that you have to check
15 air pollution levels before you go out and run. And so I had
16 seen this website even before this run, and I check the maps
17 and look at it on a daily basis.

18 **MR. GULICK:** Bear with me a moment, Your Honor.

19 **(Pause in the proceedings.)**

20 **BY MR. GULICK:**

21 **Q.** Want to show you what's been marked -- if you could
22 eliminate those marks on the ...

23 Want to show you what's been marked as Plaintiff's
24 Exhibit 224.

25 **MR. GULICK:** Your Honor, this is a video of the

1 "AIRNow" website that we're going to show, with the Court's
2 permission, and we'll ask -- and we do have a certified copy,
3 I believe, from the Environmental Protection Agency,
4 certifying this as a public record.

5 **BY MR. GULICK:**

6 **Q.** I want to ask you, is this the date that you were
7 running?

8 **A.** This is the date I was running.

9 **Q.** And is this the "AIRNow" video that you viewed --

10 **A.** Yes.

11 **Q.** -- on that day?

12 **A.** Yes, it is that video.

13 **MR. GULICK:** Gary, would you go ahead and run it?

14 **(Video playing.)**

15 **MR. GULICK:** This is a continuous loop. Going to
16 run it twice. It shows the hour of the day at the bottom of
17 the page.

18 **BY MR. GULICK:**

19 **Q.** All right. Mr. Harlan, what was the -- what was the
20 "AIRNow" showing? What was --

21 **A.** It was showing that it was hazardous for me to be
22 running that day in the Great Smoky Mountains National Park.

23 **Q.** Was this an ozone --

24 **A.** Yeah, this was an ozone alert day. And right in the
25 Great Smoky Mountains National Park, an orange alert right in

1 the areas where I was running, along the spine of the
2 Appalachians, the Appalachian Trail. The high elevation was
3 even more bathed in the pollution than anywhere else.

4 Q. Let me ask you this. Have you, subsequent to that day,
5 had a similar occurrence, breathing occurrence like what
6 occurred to you on that day?

7 A. Not to that severity, but I have had similar breathing
8 problems, and they've always occurred on ozone alert days or
9 high pollution days in the summer when I'm running in the
10 afternoons. Those are the only times when I experience these
11 severe breathing inhalation problems.

12 Q. Have you altered your running schedule as a result of
13 this?

14 A. Yeah. Unfortunately, I've had to modify my running in
15 many ways. I run only in the mornings now, and I religiously
16 check the "AIRNow" website just to see the pollution levels
17 for that particular day and the forecasts. And it's
18 unfortunate that I've got to squeeze my running in in the
19 early morning because, otherwise, I'm going to experience
20 these severe breathing problems if I try to run later in the
21 day, I've learned.

22 So it's forced me to limit the amount of my running.
23 It's curtailed my running career. I was a competitive
24 runner, winning lots of races, and I've had to scale back my
25 training. And that's discouraging. But even more depressing

1 for me is just the fact that I can't run as much as I used
2 to, and running is just part of the fabric of who I am. It's
3 something I do on a daily basis just to clear my head and
4 cleanse my body and soul, and the fact that running could be
5 hazardous to my health is very upsetting to me, and the fact
6 that I have to worry about pollution constantly when I'm
7 running is very upsetting.

8 **MR. GULICK:** Your Honor, we would offer into
9 evidence Will Harlan's training log, Exhibit 221; his
10 hand-drawn map, 222; his journal entry, 223; and, Your Honor,
11 Exhibit 224. And I have -- we're trying to -- we have a copy
12 here we're locating, Your Honor, of our affidavit from an
13 employee of the United States EPA that he had -- which
14 authenticates the "AIRNow" video extract as, again, a
15 document in the legal possession of the EPA. Unfortunately,
16 at the moment, we only have a copy. We will locate the
17 original.

18 **THE COURT:** All right. Let those be admitted.

19 **(Plaintiffs' Exhibits 221, 222, 223 and 224**
20 **received.)**

21 **MR. GULICK:** Your witness.

22 **MR. FINE:** We have no questions, Your Honor.

23 **THE COURT:** All right. Mr. Harlan, you may be
24 excused. That will complete your testimony.

25 **MR. GULICK:** Your Honor, we'd briefly call Emily

1 Diznoff to the stand.

2 Please come around and be sworn.

3 **EMILY DIZNOFF,**
4 **being duly sworn, was examined and testified as follows:**

5 **DIRECT EXAMINATION**

6 **BY MR. GULICK:**

7 **Q.** Ms. Diznoff, are you married to Will -- please state
8 your name for the record.

9 **A.** Emily Diznoff.

10 **Q.** And are you married to Will Harlan?

11 **A.** I am.

12 **Q.** And what is your profession?

13 **A.** I'm a family practice physician.

14 **Q.** And where do you work?

15 **A.** At Asheville Family Medicine.

16 **Q.** Where did you receive your medical training?

17 **A.** At Emory University School of Medicine in Atlanta,
18 Georgia.

19 **Q.** And I'm just going to show you Plaintiff's Exhibit 438,
20 which will appear on your screen, and ask if you can identify
21 it.

22 **A.** That is a copy of my resume.

23 **Q.** Is that a true and accurate copy of your resume?

24 **A.** It is.

25 **Q.** I'm not going to ask you any opinions today, but I want

1 to ask you about your part of this experience.

2 First of all, I want to ask you about, are you familiar
3 with your husband's running career?

4 A. Yes, very familiar.

5 Q. And you've accompanied him on running trips such as this
6 before?

7 A. Many. I have been sort of crowned his number one crew.
8 I've sort of organized most of his races, crewed all his
9 races, and have been with him for 12 years, so as long as
10 he's been running competitively.

11 Q. Now, on this particular occasion -- you, of course,
12 heard his testimony. What was your experience after you
13 went -- you were going to Russell Field. Simply describe
14 that briefly.

15 A. After I was at Russell Field? I arrived at Russell
16 Field -- actually, I was concerned -- I remember having
17 concern that I was going to -- that he was going to get there
18 before me, I had to drive all the way around and hike in five
19 miles, and I do remember thinking I hope I get there in time,
20 because that was the estimation of the time we thought it
21 would take him to get there.

22 So I arrived there and found several other hikers. I
23 think it was three other men who were there, two separate
24 parties, one man by himself and two others who were there
25 together who had decided to stop there for the evening. And

1 I arrived, told them what my husband was doing, and sat and
2 waited.

3 Q. And what happened -- when were you expecting him to
4 arrive?

5 A. Probably was thinking he'd get there -- I did not have a
6 watch, so I was thinking he would get there about 6:00 or
7 6:30. Way before dusk.

8 Q. And did he, in fact, arrive?

9 A. He did not arrive. We waited --

10 Q. What did you do?

11 A. -- and waited.

12 I was very, very distraught. As it got later on -- at
13 first I thought, you know, I thought maybe he was just
14 running a little late. As it started to get dark out, I was,
15 I would say panicked. I convinced one of the other people
16 who was there to walk with me down the trail toward the
17 direction I anticipated he would be coming, and, you know, we
18 were calling his name, yelling his name, and I was, you know,
19 just screaming. And they were like, you know, this is pretty
20 useless; your voice isn't going to travel very far.

21 We came back to the shelter area, and that's when a bear
22 came into the area. And then I started to get really scared
23 because I knew it was getting later, it was getting darker,
24 there was a bear, and we had to go into the shelter and shut
25 the shelter door, and I was with three strange men. I had no

1 cell phone that would work, no sleeping bag, just a little
2 backpack with me, no food, no water, and it was at that point
3 getting very dark and I was getting really scared.

4 You know, I knew that -- I know my husband very well and
5 I know that nothing would have stopped him from getting to me
6 unless it was really serious. And as it got later and later
7 and darker and darker and I was almost 100 percent convinced
8 that something serious had happened to him, I remember laying
9 down on a mat that one of the people had lent me, thinking,
10 how am I going to call his mother and explain to her that he
11 died. I mean, I remember having that thought in my head, I'm
12 going to have to tell her that he died, and I basically cried
13 myself to sleep and laid there scared.

14 Q. So you stayed the night there?

15 A. I did stay the night there.

16 Q. And what did you do?

17 A. Shivered in the cold and laid there. And as soon as
18 daybreak came, I -- you know, I knew I had to race back down
19 five miles of trail. And, you know, I didn't even know what
20 I was going to do. I had to get to my car. My plan was to
21 go back to Fontana Dam, where he was supposed to finish. I
22 thought maybe I missed him, maybe something happened. And I
23 knew that was where the people were supposed to be that we
24 were going to meet at the finish line. So that's what I did.

25 Q. And did you, eventually, go around to Fontana Dam?

1 A. I did, thank goodness, You know, it turned out to be
2 just a disaster all around. The road that I had intended to
3 take, I couldn't pass. I got caught in Cades Cove Sunday
4 morning traffic. And by the time I got to him, he had
5 already finished and he was -- there was a little house or
6 little cottage that some people we were with had rented where
7 we thought we were going to spend the night when we finished
8 the race, or the run, and they had stayed there. And at that
9 point, Will was in the shower, and I remember just running
10 into the bathroom just crying and, you know, just so happy to
11 see him alive at that point.

12 Q. Have you had occasion to be with him on another occasion
13 when he's actually suffered this particular event?

14 A. I'm not usually with him when it first starts because
15 it's usually when he's on a run, but I've been there, you
16 know, at rest points and after the runs, and, yes, I have
17 been in close contact with him.

18 Q. And how would you describe it?

19 A. The way he always describes it and the way I see it is
20 that it appears that he has pain when he tries to take a deep
21 breath in, so he can't fully expand and get air in. You
22 know, I've actually listened to his lungs, and he is not
23 truly wheezing when it happens; it's just that he can't get a
24 breath in.

25 Q. And did you accompany him to various doctors as he was

1 trying to get a diagnosis of what happened to him?

2 **A.** I did. Being that I'm a local physician, I was very
3 eager to get him in to see anyone and everyone that could
4 help us. Initially, I had him go be seen at my residency
5 program, where he was given an Albuterol inhaler to use if it
6 were to happen again, which he tried and it didn't work.

7 So, after that -- I can't remember the exact order he
8 saw them in, but he did see an allergist, he saw a
9 pulmonologist, and an ear, nose and throat doctor, and no one
10 was ever really able to give us any clear answers.

11 I know the pulmonologist offered to send us to Colorado,
12 where there was an exercise specialist he knew. People had
13 ideas and gave us different suggestions, but no one could
14 eliminate the problem with whatever treatment they proposed.

15 **Q.** From your perspective, has this experience changed
16 Will's running condition and career?

17 **A.** Will is not a morning person at all, and he now gets up
18 and runs in the morning. So, yes, it has. And he is not
19 running competitively like he had been before. He had been
20 very, very active, not just locally, but nationally, in
21 competitive distance running, ultra-marathons, and if you
22 look at his record -- you know, if you look at his recent
23 runs, he's just not running as much.

24 **MR. GULICK:** Thank you. I have no further
25 questions.

1 **MR. LANCASTER:** Defendant has no questions for
2 Dr. Diznoff.

3 **THE COURT:** Thank you. That will conclude your
4 testimony and you are excused.

5 **MR. GULICK:** Your Honor, our next witness is
6 Dr. David Peden, and he's going to take -- it's up to you.
7 We can start him right now. He is going to take considerably
8 longer than the ten minutes. But not as long as Tuesday's
9 witness.

10 **MR. LANCASTER:** I venture to guess no one will take
11 that long.

12 **THE COURT:** All right. Given that assurance, we'll
13 break a little early and come back at 2 o'clock.

14 **MR. GULICK:** Thank you, Your Honor.

15 **(Lunch recess.)**

16 * * * * *

17 [END OF VOLUME 4A]

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3
4 UNITED STATES DISTRICT COURT
5 WESTERN DISTRICT OF NORTH CAROLINA
6 CERTIFICATE OF REPORTER
7

8 I certify that the foregoing transcript is a
9 true and correct transcript from the record of proceedings
10 in the above-entitled matter.

11 Dated this 18th day of July, 2008.
12

13 S/ Karen H. Miller

14

Karen H. Miller, RMR-CRR
15 Official Court Reporter
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